

08-15-00

Docket No.

56233-139 (THAT-3DVCN)

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Total Pages in this Submission

60+

**TO THE ASSISTANT COMMISSIONER FOR PATENTS**

Box Patent Application

Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

BTSC Encoder

and invented by:

Christopher M. Hanna

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/041,244

Which is a:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 08/661,412

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Enclosed are:

**Application Elements**

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 60 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☒ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Microfiche Appendix (if applicable)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if drawings filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
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## Application Elements (Continued)

3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113)
- a. ☒ Formal                      Number of Sheets                      11
- b. ☐ Informal                      Number of Sheets                      \_\_\_\_\_
4. ☒ Oath or Declaration
- a. ☐ Newly executed (original or copy)                      ☐ Unexecuted
- b. ☒ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- c. ☒ With Power of Attorney                      ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under  
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.
6. ☐ Computer Program in Microfiche (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy (identical to computer copy)
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

## Accompanying Application Parts

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(B) Statement (when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement/PTO-1449                      ☐ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class    ☒ Express Mail (Specify Label No.): EL492737767US

# UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
56233-139 (THAT-3DVCN)

Total Pages in this Submission  
60+

## Accompanying Application Parts (Continued)

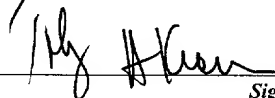
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Additional Enclosures (please identify below):

## Fee Calculation and Transmittal

### CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	14	- 20 =	0	x \$18.00	\$0.00
Indep. Claims	4	- 3 =	1	x \$78.00	\$78.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$690.00
OTHER FEE (specify purpose)					\$0.00
TOTAL FILING FEE					\$768.00

- ☒ A check in the amount of \$384.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 50-1133 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$384.00 as filing fee.
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- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

  
Signature

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Dated: August 14, 2000

CC:

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **THAT-3DV** Total Pages **81**

First Named Inventor or Application Identifier

**Christopher M. Hanna**

Express Mail Label No. **EM504397303US**

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages **60**]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) [Total Sheets **10**]
4. Oath or Declaration [Total Pages **2**]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]
  - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a  
copy of the oath or declaration is supplied under Box 4b,  
is considered as being part of the disclosure of the  
accompanying application and is hereby incorporated by  
reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement [ ] Power of Attorney  
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure [ ] Copies of IDS  
Statement (IDS)/PTO-1449 Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☐ Small Entity [ ] Statement filed in prior application,  
Statement(s) Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Other: **Check for \$395.00; .....**  
**Request for Filing A Patent**  
**Application Under 37 CFR**  
**1.53(b)**

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No: **08/661,412**

## 18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

or ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

NAME	<b>Toby H. Kusmer, Esq.</b>				
	<b>Lappin &amp; Kusmer LLP</b>				
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COUNTRY	<b>USA</b>	TELEPHONE	<b>617-330-1300</b>	FAX	<b>617-330-1311</b>

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Christopher M. Hanna  
Continuation Application of: U.S.S.N. 09/041,244  
Filing Date: March 12, 1998  
Title: BTSC Encoder  
Docket Number: 56233-139 (THAT-3DVCN)

**Box Patent Application**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir

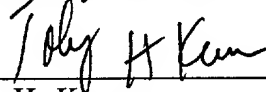
**TRANSMITTAL LETTER**

Enclosed herewith for filing in the above-identified please find the following listed items:

1. Utility Patent Application Transmittal;
2. Application and Check in the of \$384.00 to cover the requisite fee;
3. Eleven (11) Sheets of Formal Drawings;
4. Copy of Declaration, Petition and Power of Attorney From Prior Application;
5. Copy of Verified Statement For Small Entity Status From Prior Application;
6. Preliminary Amendment "A"; and
7. Return Postcard.

In connection with the foregoing matter, please charge any additional fees which may be due, or credit any overpayment, to Deposit Account Number 50-1133. A duplicate copy of this letter is provided for this purpose.

Respectfully submitted,



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Registration Number 26,418  
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**CERTIFICATE OF MAILING**

"Express Mail" Mailing Label Number EL492737767US

Date of Deposit : August 14, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box CPA, Assistant Commissioner for Patents, Washington, DC 20231.

CYNTHIA JOSEPH  
(Person Mailing)

Cynthia Joseph  
(Signature)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT



In re Continuation Application of :

Applicant: Christopher M. Hanna  
Title: BTSC ENCODER  
Prior Serial No.: 09/041,244  
Prior Filing Date: March 12, 1998  
Group Art Unit: 2747  
Examiner: Ping W. Lee  
Docket Number: 56233-139 (THAT-3DVCN)

BOX PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, DC 20231

PRELIMINARY AMENDMENT "A"

Sir:

Please amend the above-identified application before substantive examination as follows:

In the Claims:

Please cancel claims 1-6 and 10-48, and amend claims 7-9 as follows:

7. (Amended) An adaptive digital signal [weighing] weighting system[:] for use with a digitally represented difference signal representing the difference between two stereophonic audio signals, the system including a signal path for transmitting an electrical information signal containing information relating to the difference signal of a predetermined bandwidth through said system at a predetermined sample rate so as to preserve the signal content of said information signal, said system further comprising:

a digital filter [means] arrangement disposed in said signal path [for varying] and constructed and arranged so as to vary the gain impressed on the portion of said information signal within a first select spectral region within said predetermined bandwidth by a first variable gain factor, said first variable gain factor varying in response to and as a function of a first control signal;

a first control signal generator constructed and arranged so as to [means for] digitally [generating] generate said first control signal only in response to and in accordance with the signal energy of said [information] digitally represented difference signal within a second select spectral region including at least a part of said first select spectral region;

a digital gain [control means] controller, disposed in said signal path and coupled to said digital filter [means] arrangement, and constructed and arranged so as to vary [for varying] the signal gain impressed on said information signal substantially throughout said predetermined bandwidth by a second variable gain factor, said second variable gain factor varying in response to and as a function of a second control signal; and

a second control signal generator constructed and arranged so as to [means for] digitally [generating] generate said second control signal in response to and as a function of the signal energy of said [information] digitally represented difference signal substantially within a third select spectral region within said predetermined bandwidth;

wherein the digital filter arrangement, first control signal generator, digital gain controller, and the second control signal generator each operate at a predetermined sample rate so as to preserve the signal content of the information signal, and the sampling rate is chosen so as to be equal to an integer multiple of the frequency of a pilot tone that can be added to the difference signal to identify the encoded signal to a receiver.

8. (Amended) A digital system for encoding an electrical information signal of a predetermined bandwidth at a predetermined sample rate so as to preserve the signal content of said information and so that said information signal can be recorded on or transmitted through a channel with a frequency dependent dynamic range as an encoded difference signal representing the difference between two stereophonic audio signals, [through a] the [dynamically-limited, frequency dependent] channel having a narrower dynamically-limited portion in a first spectral region than in at least one other spectral region of said predetermined bandwidth, said system comprising:

an input [means] constructed and arranged so as to receive [for receiving] said

information signal;

a signal transmission path, coupled to said input [means] and constructed and arranged so as to transmit [for transmitting] said information signal received at said input [means];

an output [means] coupled to said input [means] through said signal transmission path for providing said information signal as encoded by said system;

a digital gain [control means] controller coupled to said signal path for varying the signal gain impressed on said information signal substantially throughout said predetermined bandwidth, said signal gain varying in response to and as a function of a first control signal;

a digital filter [means] arrangement, coupled to said signal path and said digital gain [control means for impressing] controller, and constructed and arranged so as to impress a second variable gain on the portion of said information signal substantially within said first spectral region so as to preemphasize said portion with respect to the remaining portions of said information signal, said second variable gain varying in response to and as a function of a second control signal;

a first control signal generator constructed and arranged so as to digitally generate [means for digitally generating] said first control signal in response to and as a function of the signal energy of said information signal substantially within a second spectral region of said predetermined bandwidth; and

a second control signal generator constructed and arranged so as to digitally generate [means for digitally generating] said second control signal only in response to and in accordance with the signal energy of said information signal within a third spectral region of said predetermined bandwidth including at least a part of said first spectral region;

wherein the digital gain controller, said digital filter arrangement, said first control signal generator and said second control signal generator each operate at a predetermined sample rate so as to preserve the signal content of said information signal, and the sampling rate is chosen so as to be equal to an integer multiple of the frequency of a pilot tone that can be added to the encoded signal to identify the encoded signal to a receiver.



9. (Amended) An adaptive digital signal [weighing] weighting system[,] for use with a digitally represented difference signal representing the difference between two stereophonic audio signals, the system comprising:

a signal path for transmitting an electrical information signal of a predetermined bandwidth through said system;

a variable coefficient digital filter [means for filtering] arrangement (a) constructed and arranged so as to filter said information signal, [said filtering] the filter arrangement being characterized by a variable coefficient transfer function, and [said filtering] (b) constructed and arranged so as to vary [varying] the gain impressed on the portion of said information signal within a first select spectral region within said predetermined bandwidth by a first variable gain factor, the variable coefficients of said variable coefficient transfer function and said first variable gain factor varying in response to and as a function of a first control signal;

a first control signal generator constructed and arranged so as to digitally generate [means for digitally generating] said first control signal only in response to and in accordance with the signal energy of said information signal within a second select spectral region including at least a part of said first select spectral region;

a digital gain [control means] controller disposed in said signal path and coupled to said variable coefficient digital filter [means for varying] arrangement, and constructed and arranged so as to vary the signal gain impressed on said information signal substantially throughout said predetermined bandwidth by a second variable gain factor, said second variable gain factor varying in response to and as a function of a second control signal; and

a second control signal generator constructed and arranged so as to digitally generate [means for digitally generating] said second control signal in response to and as a function of the signal energy of said information signal substantially within a third select spectral region within said predetermined bandwidth;

wherein the digital filter arrangement, first control signal generator, digital gain controller and second control signal generator each operate at a predetermined sample rate so as to preserve the signal content of said information signal, and the sampling rate is chosen so as to be equal to

an integer multiple of the frequency of a pilot tone that can be added to the difference signal to identify the difference signal to a receiver.

Please add the following new claims:

49. (New) A system according to claim 7, wherein the pilot frequency is substantially 15,734 Hz.

50. (New) A system according to claim 7, wherein DC signal energy is absent from the signal content of the information signal so as to prevent ticking.

51. (New) A system according to claim 8, wherein the pilot frequency is substantially 15,734 Hz.

52. (New) A system according to claim 8, wherein DC signal energy is absent from the signal content of the information signal so as to prevent ticking.

53. (New) A system according to claim 9, wherein the pilot frequency is substantially 15,734 Hz.

54. (New) A system according to claim 9, wherein DC signal energy is absent from the signal content of the information signal so as to prevent ticking.

55. (New) A digital implementation of an analog adaptive digital signal weighting system for use with a digitally represented difference signal representing the difference between two stereophonic audio signals, the system including a signal path for transmitting an electrical information signal containing information relating to the difference signal of a predetermined bandwidth through said system, said analog adaptive digital weighting system being of the type

including first analog control signal generator including a first analog filter component and a second analog control signal generator including a second analog filter component, said digital implementation further comprising:

a digital filter arrangement disposed in said signal path and constructed and arranged so as to vary the gain impressed on the portion of said information signal within a first select spectral region within said predetermined bandwidth by a first variable gain factor, said first variable gain factor varying in response to and as a function of a first control signal;

a first digital control signal generator constructed and arranged so as to digitally generate said first control signal only in response to and in accordance with the signal energy of said digitally represented difference signal within a second select spectral region including at least a part of said first select spectral region, said first digital control signal generator including a first digital filter component having an amplitude response such that the difference between the amplitude response of the first digital filter component and the amplitude response of the first analog filter component is minimized irrespective of their respective phase responses;

a digital gain controller, disposed in said signal path and coupled to said digital filter arrangement, and constructed and arranged so as to vary the signal gain impressed on said information signal substantially throughout said predetermined bandwidth by a second variable gain factor, said second variable gain factor varying in response to and as a function of a second control signal;

a second digital control signal generator constructed and arranged so as to digitally generate said second control signal in response to and as a function of the signal energy of said digitally represented difference signal substantially within a third select spectral region within said predetermined bandwidth, said second digital control signal generator including a second digital filter component having an amplitude response such that the difference between the amplitude response of the second digital filter component and the amplitude response of the second analog filter component is minimized irrespective of their respective phase responses; and

wherein the digital filter arrangement, first control signal generator, digital gain controller, and the second control signal generator each operate at a predetermined sample rate so as to

preserve the signal content of the information signal.

56. (New) A digital implementation according to claim 55, wherein said first digital filter component comprises a spectral bandpass filter.

57. (New) A digital implementation according to claim 55, wherein the first digital filter component comprises an IIR filter.

58. (New) A digital implementation according to claim 55, wherein the first digital filter component comprises a cascade of IIR filters.

59. (New) A digital implementation according to claim 55, wherein said second digital filter component comprises an IIR filter.

#### REMARKS

Claims 7-9 and 49-59 remain in the application. In the prior application, claims 7-9 have been rejected under 35 U.S.C. § 103(a) as being unpatentable over the prior art disclosed in Fig. 1 in view of Stauffer or Rasmussen et al. Claims 7-9 have also been rejected under 35 U.S.C. § 103(a) over the prior art disclosed in Fig. 1 in view of "Spice". There rejections are traversed and reconsideration is requested in view of the foregoing amendments and following remarks.

Each of the claims recite a system which is not anticipated nor made obvious by the cited prior art. Claims 7-9, and the claims dependent thereon, for example, describe the various recited components as being sampled at a sampling rate which is chosen so as to be equal to an integer multiple of the frequency of a pilot tone that can be added to the difference signal to identify the encoded signal to a receiver. New claim 55, and the claims dependent thereon, recite phase compensation, while new claim 60, and the claims dependent thereon, recite the comparable amplitude responses of the digital filter used in the digital implementation and the analog filters of the analog adaptive weighting system. These features are clearly not suggested by the analog system

of Fig. 1, nor any of the secondary references cited and applied by the Examiner.

With respect to the rejection of claims 7-9 under 35 U.S.C. § 103(a) as being unpatentable over the prior art disclosed in Fig. 1 in view of Stauffer or Rasmussen et al., the Examiner states that “the prior art is substantially the same as the claimed invention in claims 7-9 with the exception of using digital elements as the filter means, means for generating a first control signal (140, 142), gain control means (134) and means for generating a second control signal (126, 148) respectively”, and that “it was well known in the art that a variable gain controlled filter (134 or 136) (amplifier) can be implemented as (sic) analog or digital form. The gain control signal can also be implemented using digital elements.” The Examiner relies on Stauffer to teach that “a digital system will have a low cost if additional elements will be added later on, and a digital system will provide a more reliable performance (columns 2-3)”, and that Ramussen et al teaches that “a digital filter will be more accurate since no precise voltage level is required, and no component drift vs. time and temperature.” The Examiner concludes that while Stauffer and Ramussen et al. do not have the same circuitry as shown in the prior art, “they teaches (sic) the general advantages of having a digital system compared to its analogous (sic) counter part.” It is respectfully submitted, however, that the invention as set forth in claims 7-9 and 49-64 are neither anticipated nor made obvious by applicant’s Fig. 1 in view of Stauffer and Ramussen et al.

Clearly, the Fig. 1 embodiment is analog, and therefore there is no sampling rate. Stauffer and Ramussen et al do not disclose that a sampling rate is chosen so as to be equal to an integer multiple of the frequency of a pilot tone that can be added to a difference signal to identify the encoded signal to a receiver. In addition, the phase compensation as recited in claim 55, and the claims dependent thereon, (described, for example, in the specific implementation of Fig. 3 as static phase equalizer 228 of the original disclosure), and the amplitude responses of the digital filter components independent of the phase responses as recited in claim 60, and the claims dependent thereon, (described, for example, in the specific implementation of Fig. 4 as wideband band pass filter 448, and of Fig. 6 as spectral band pass filter 542) are clearly not provided in the analog implementation of Fig. 1, nor described in either Stauffer and Ramussen et al.

With regard to the rejection of claims 7-9 under 35 U.S.C. § 103(a) as being unpatentable

Applicant(s): Christopher M. Hanna  
Serial No.: 09/041,244

over the prior art shown in Fig. 1 in view of "SPICE", the Examiner correctly characterizes "SPICE" as a computer program for modeling an analog system with a digital simulation. The Examiner states that it would be obvious to one skilled in the art at the time the invention was made to modify the prior art analog system as shown in Fig. 1 by replacing the analog circuitry with an equivalent digital circuitry using "SPICE" to simulate the digital encoder. It is submitted, however, that while SPICE can be used to simulate the digital encoder of Fig. 1, for analytical purposes, such simulation would not, using currently available processing speeds, preserve the signal content of the audio signals as now recited in the claims, a limitation appearing in all of the pending claims.

Applicant is fully aware of the SPICE program. The program is used for simulating a circuit and analyzing the operation of the circuit. Applicant estimates that the current version of the SPICE program would require several seconds to process an input signal of duration on the order of milliseconds, and that the signal output would not be at a predetermined sample rate so as to preserve the signal content of the digital left and right channel audio signals. In fact, processing an audio program of, for example, a half hour television program, could take a day or two. This of course would not preserve the signal content of the digital left and right channel audio signals.

Applicant(s): Christopher M. Hanna  
Serial No.: 09/041,244

Please charge any additional and necessary fees or any deficiency payments, or credit any overpayments to our Deposit Account No. 50-1133.

Respectfully submitted,



Toby H. Kusmer  
Registration Number 26,418  
McDermott, Will & Emery  
28 State Street  
Boston, MA 02109-1775  
Telephone: (617) 535-4065  
Facsimile: (617) 535-3800  
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#### CERTIFICATE OF MAILING

"Express Mail" Mailing Label Number EL492737767US

Date of Deposit : August 14, 2000

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CYNTHIA JOSEPH  
(Person Mailing)

Cynthia Joseph  
(Signature)

## **BTSC ENCODER**

### **Field of the Invention**

The present invention relates generally to stereophonic audio encoders used for television broadcasting. More particularly, the invention relates to a digital encoder for generating the audio signals used in the broadcast of stereophonic television signals in the United States and in other countries.

### **Background of the Invention**

In the 1980's, the United States Federal Communications Commission (FCC) adopted new regulations covering the audio portion of television signals which permitted television programs to be broadcast and received with bichannel audio, e.g., stereophonic sound. In those regulations, the FCC recognized and gave special protection to a method of broadcasting additional audio channels endorsed by the Electronic Industries Association and the National Association of Broadcasters and called the Broadcast Television Systems Committee (BTSC) system. This well-known standard is sometimes referred to as Multichannel Television Sound (MTS) and is described in the FCC document entitled, MULTICHANNEL TELEVISION SOUND TRANSMISSION AND AUDIO PROCESSING REQUIREMENTS FOR THE BTSC SYSTEM (OET Bulletin No. 60, Revision A, February 1986), as well as in the document published by the Electronic Industries Association entitled, MULTICHANNEL TELEVISION SOUND BTSC SYSTEM RECOMMENDED PRACTICES (EIA Television Systems Bulletin No. 5, July 1985). Television signals generated according to the BTSC standard are referred to hereinafter as "BTSC signals".

The original monophonic television signals carried only a single channel of audio. Due to the configuration of the monophonic television signal and the need to



1 maintain compatibility with existing television sets, the stereophonic information was  
2 necessarily located in a higher frequency region of the BTSC signal making the  
3 stereophonic channel much noisier than the monophonic audio channel. This resulted  
4 in an inherently higher noise floor for the stereo signal than for the monophonic signal.  
5 The BTSC standard overcame this problem by defining an encoding system that  
6 provided additional signal processing for the stereophonic audio signal. Prior to  
7 broadcast of a BTSC signal by a television station, the audio portion of a television  
8 program is encoded in the manner prescribed by the BTSC standard, and upon reception  
9 of a BTSC signal a receiver (e.g., a television set) then decodes the audio portion in a  
10 complementary manner. This complementary encoding and decoding insures that the  
11 signal-to-noise ratio of the entire stereo audio signal is maintained at acceptable levels.

12 Figure 1 is a block diagram of a prior art BTSC encoding system, or more  
13 simply, a BTSC encoder 100, as defined by the BTSC standard. Encoder 100 receives  
14 left and right channel audio input signals (indicated in Figure 1 as "L" and "R",  
15 respectively) and generates therefrom a conditioned sum signal and an encoded  
16 difference signal. It should be appreciated that while the system of the prior art and that  
17 of the present invention is described as useful for encoding the left and right audio  
18 signals of a stereophonic signal that is subsequently transmitted as a television signal,  
19 the BTSC system also provides means to encode a separate audio signal, e.g., audio  
20 information in a different language, which is separated and selected by the end receiver.  
21 Further, noise reduction components of the BTSC encoding system can be used for other  
22 purposes besides television broadcast, such as for improving audio recordings.

23 System 100 includes an input section 110, a sum channel processing section 120,  
24 and a difference channel processing section 130. Input section 110 receives the left and  
25 right channel audio input signals and generates therefrom a sum signal (indicated in  
26 Figure 1 as "L+R") and a difference signal (indicated in Figure 1 as "L-R"). It is well  
27 known that for stereophonic signals, the sum signal L+R may be used by itself to  
28 provide monophonic audio reproduction and it is this signal that is decoded by existing

1 monophonic audio television sets to reproduce sound. In stereophonic sets, the sum and  
2 difference signals can be added to and subtracted from one another to recover the  
3 original two stereophonic signals (L) and (R). Input section 110 includes two signal  
4 adders 112, 114. Adder 112 sums the left and right channel audio input signals to  
5 generate the sum signal, and adder 114 subtracts the right channel audio input signal  
6 from the left channel audio input signal to generate the difference signal. As described  
7 above, the sum signal  $L+R$  is transmitted through a transmission media with the same  
8 signal to noise ratio as achieved with the prior monophonic signals. However, the  
9 difference signal  $L-R$  is transmitted through a very noisy channel, particularly at the  
10 higher frequency portion of the relevant spectrum so that the decoded difference signal  
11 has a poorer signal-to-noise ratio because of the noisy medium and reduced dynamic  
12 range of the medium. The dynamic range is defined as the range of signals between the  
13 level of the noise floor and the maximum level where signal saturation occurs. In the  
14 difference signal channel the dynamic range decreases at higher frequencies.  
15 Accordingly, the difference signal is subjected to additional processing than that of the  
16 sum signal so that the dynamic range can be substantially preserved.

17 More particularly, the sum channel processing section 120 receives the sum  
18 signal and generates therefrom the conditioned sum signal. Section 120 includes a  $75\mu s$   
19 preemphasis filter 122 and a bandlimiter 124. The sum signal is applied to the input of  
20 filter 122 which generates therefrom an output signal that is applied to the input of  
21 bandlimiter 124. The output signal generated by the latter is then the conditioned sum  
22 signal.

23 The difference channel processing section 130 receives the difference signal and  
24 generates therefrom the encoded difference signal. Section 130 includes a fixed  
25 preemphasis filter 132 (shown implemented as a cascade of two filters 132a and 132b),  
26 a variable gain amplifier 134 preferably in the form of a voltage-controlled amplifier,  
27 a variable preemphasis/deemphasis filter (referred to hereinafter as a "variable emphasis  
28 filter") 136, an overmodulation protector and bandlimiter 138, a fixed gain amplifier

1 140, a bandpass filter 142, an RMS level detector 144, a fixed gain amplifier 146, a  
2 bandpass filter 148, an RMS level detector 150, and a reciprocal generator 152.

3 The difference signal is applied to the input of fixed preemphasis filter 132 which  
4 generates therefrom an output signal that is applied via line 132d to an input terminal  
5 of amplifier 134. An output signal generated by reciprocal generator 152 is applied via  
6 line 152a to a gain control terminal of amplifier 134. Amplifier 134 generates an output  
7 signal by amplifying the signal on line 132d using a gain that is proportional to the value  
8 of the signal on line 152a. The output signal generated by amplifier 134 is applied via  
9 line 134a to an input terminal of variable emphasis filter 136, and an output signal  
10 generated by RMS detector 144 is applied via line 144a to a control terminal of filter  
11 136. Variable emphasis filter 136 generates an output signal by preemphasizing or  
12 deemphasizing the high frequency portions of the signal on line 134a under the control  
13 of the signal on line 144a. The output signal generated by filter 136 is applied to the  
14 input of overmodulation protector and bandlimiter 138 which generates therefrom the  
15 encoded difference signal.

16 The encoded difference signal is applied via feedback path 138a to the inputs of  
17 fixed gain amplifiers 140, 146, which amplify the encoded difference signal by Gain A  
18 and Gain B, respectively. The amplified signal generated by amplifier 140 is applied  
19 to an input of bandpass filter 142 which generates therefrom an output signal that is  
20 applied to the input of RMS level detector 144. The latter generates an output signal  
21 as a function of the RMS value of the input signal level received from filter 142. The  
22 amplified signal generated by amplifier 146 is applied to the input of bandpass filter 148  
23 which generates therefrom an output signal that is applied to the input of RMS level  
24 detector 150. The latter generates an output signal as a function of the RMS value of  
25 the input signal level received from filter 148. The output signal of detector 150 is  
26 applied via line 150a to reciprocal generator 152, which generates a signal on line 152a  
27 that is representative of the reciprocal of the value of the signal on line 150a. As stated

1 above, the output signals generated by RMS level detector 144 and reciprocal generator  
2 152 are applied to filter 136 and amplifier 134, respectively.

3 As shown in Figure 1, the difference channel processing section 130 is  
4 considerably more complex than the sum channel processing section 120. The additional  
5 processing provided by the difference channel processing section 130, in combination  
6 with complementary processing provided by a decoder (not shown) receiving a BTSC  
7 signal, maintains the signal-to-noise ratio of the difference channel at acceptable levels  
8 even in the presence of the higher noise floor associated with the transmission and  
9 reception of the difference channel. Difference channel processing section 130  
10 essentially generates the encoded difference signal by dynamically compressing, or  
11 reducing the dynamic range of the difference signal so that the encoded signal may be  
12 transmitted through the limited dynamic range transmission path associated with a BTSC  
13 signal, and so that a decoder receiving the encoded signal may recover all the dynamic  
14 range in the original difference signal by expanding the compressed difference signal in  
15 a complementary fashion. The difference channel processing section 130 is a particular  
16 form of the adaptive signal weighing system described in U.S. Patent No. 4,539,526,  
17 which is known to be advantageous for transmitting a signal having a relatively large  
18 dynamic range through a transmission path having a relatively narrow, frequency  
19 dependent, dynamic range.

20 Briefly, the difference channel processing section may be thought of as including  
21 a wide band compression unit 180 and a spectral compression unit 190. The wide band  
22 compression unit 180 includes variable gain amplifier 134 preferably in the form of a  
23 voltage controlled amplifier, and the components of the feedback path for generating the  
24 control signal to amplifier 134 and comprising amplifier 146, band pass filter 148, RMS  
25 level detector 150, and reciprocal generator 152. Band pass filter 148 has a relatively  
26 wide pass band, weighted towards lower audio frequencies, so in operation the output  
27 signal generated by filter 148 and applied to RMS level detector 150 is substantially  
28 representative of the encoded difference signal. RMS level detector 150 therefore

1 generates an output signal on line 150a representative of a weighted average of the  
2 energy level of the encoded difference signal, and reciprocal generator 152 generates  
3 a signal on line 152a representative of the reciprocal of this weighted average. The  
4 signal on line 152a controls the gain of amplifier 134, and since this gain is inversely  
5 proportional to a weighted average (i.e., weighted towards lower audio frequencies) of  
6 the energy level of the encoded difference signal, wide band compression unit 180  
7 "compresses", or reduces the dynamic range, of the signal on line 132a by amplifying  
8 signals having relatively low amplitudes and attenuating signals having relatively large  
9 amplitudes.

10 The spectral compression unit 190 includes variable emphasis filter 136 and the  
11 components of the feedback path generating a control signal to the filter 136 and  
12 comprising amplifier 140, band pass filter 142 and RMS level detector 144. Unlike  
13 filter 148, band pass filter 142 has a relatively narrow pass band that is weighted  
14 towards higher audio frequencies. As is well known, the transmission medium  
15 associated with the difference portion of the BTSC transmission system has a frequency  
16 dependent dynamic range and the pass band of filter 142 is chosen to correspond to the  
17 spectral portion of that transmission path having the narrowest dynamic range (i.e., the  
18 higher frequency portion). In operation the output signal generated by filter 142 and  
19 applied to RMS level detector 144 contains primarily the high frequency portions of the  
20 encoded difference signal. RMS level detector 144 therefore generates an output signal  
21 on line 144a representative of the energy level in the high frequency portions of the  
22 encoded difference signal. This signal then controls the preemphasis/deemphasis applied  
23 by variable emphasis filter 136 so in effect the spectral compression unit 190  
24 dynamically compresses high frequency portions of the signal on line 134a by an amount  
25 determined by the energy level in the high frequency portions of the encoded difference  
26 signal as determined by the filter 142. The use of the spectral compression unit 190  
27 thus provides additional signal compression towards the higher frequency portions of the  
28 difference signal, which combines with the wideband compression provided by the

1 variable gain amplifier 134 to effectively cause more overall compression to take place  
2 at high frequencies relative to the compression at lower frequencies. This is done  
3 because the difference signal tends to be noisier in the higher frequency part of the  
4 spectrum. When the encoded difference signal is decoded with a wideband expander  
5 and a spectral expander in a decoder (not shown), respectively in a complementary  
6 manner to the wide band compression unit 180 and spectral compression unit 190 of the  
7 encoder, the signal-to-noise ratio of the L-R signal applied to the difference channel  
8 processing section 130 will be substantially preserved.

9 The BTSC standard rigorously defines the desired operation of the 75 $\mu$ s  
10 preemphasis filter 122, the fixed preemphasis filter 132, the variable emphasis filter  
11 136, and the bandpass filters 142, 148, in terms of idealized analog filters. Specifically,  
12 the BTSC standard provides a transfer function for each of these components and the  
13 transfer functions are described in terms of mathematical representations of idealized  
14 analog filters. The BTSC standard also defines the gain settings, Gain A and Gain B,  
15 of amplifiers 140 and 146, respectively, and also defines the operation of amplifier 134,  
16 RMS level detectors 144, 150, and reciprocal generator 152. The BTSC standard also  
17 provides suggested guidelines for the operation of overmodulation protector and  
18 bandlimiter 138 and bandlimiter 124. Specifically, bandlimiter 124 and the bandlimiter  
19 portion of overmodulation protector and bandlimiter 138 are described as low pass filters  
20 with cutoff frequencies of 15 kHz, and the overmodulation protection portion of  
21 overmodulation protector and bandlimiter 138 is described as a threshold device that  
22 limits the amplitude of the encoded difference signal to 100% of full modulation where  
23 full modulation is the maximum permissible deviation level for modulating the audio  
24 subcarrier in a television signal.

25 Since encoder 100 is defined in terms of mathematical descriptions of idealized  
26 filters it may be thought of as an idealized or theoretical encoder, and those skilled in  
27 the art will appreciate that it is virtually impossible to construct a physical realization  
28 of a BTSC encoder that exactly matches the performance of theoretical encoder 100.

1 Therefore, it is expected that the performance of all BTSC encoders will deviate  
2 somewhat from the theoretical ideal, and the BTSC standard defines maximum limits  
3 on the acceptable amounts of deviation. For example, the BTSC standard states that a  
4 BTSC encoder must provide at least 30 db of separation from 100 Hz to 8,000 Hz  
5 where separation is a measure of how much a signal applied to only one of the left or  
6 right channel's inputs appears erroneously in the other of the left or right channel's  
7 outputs.

8 The BTSC standard also defines a composite stereophonic baseband signal  
9 (referred to hereinafter as the "composite signal") that is used to generate the audio  
10 portion of a BTSC signal. The composite signal is generated using the conditioned sum  
11 signal, the encoded difference signal, and a tone signal, commonly referred to as the  
12 "pilot tone" or simply as the "pilot", which is a sine wave at a frequency  $f_H$  where  $f_H$   
13 is equal to 15,734 Hz. The presence of the pilot in a received television signal indicates  
14 to the receiver that the television signal is a BTSC signal rather than a monophonic or  
15 other non-BTSC signal. The composite signal is generated by multiplying the encoded  
16 difference signal by a waveform that oscillates at twice the pilot frequency according to  
17 the cosine function  $\cos(4\pi f_H t)$ , where  $t$  is time, to generate an amplitude modulated,  
18 double-sideband, suppressed carrier signal and by then adding to this signal the  
19 conditioned sum signal and the pilot tone.

20 Figure 2 is a graph of the spectrum of the composite signal. In Figure 2 the  
21 spectral band of interest containing the content of the conditioned sum signal (or the  
22 "sum channel signal") is indicated as "L+R", the two spectral sidebands containing the  
23 content of the frequency shifted encoded difference signal (or the "difference channel  
24 signal") are each indicated as "L-R", and the pilot tone is indicated by the arrow at  
25 frequency  $f_H$ . As shown in Figure 2, in the composite signal the encoded difference  
26 signal is used at 100% of full modulation, the conditioned sum signal is used at 50% of  
27 full modulation, and the pilot tone is used at 10% of full modulation.

1 Stereophonic television has been widely successful, and existing encoders have  
2 performed admirably, however, virtually every BTSC encoder now in use has been built  
3 using analog circuitry technology. These analog BTSC encoders, and particularly the  
4 analog difference channel processing sections, due to their increased complexity have  
5 been relatively difficult and expensive to construct. Due to the variability of analog  
6 components, complex component selection and extensive calibration have been required  
7 to produce acceptable analog difference channel processing sections. Further, the  
8 tendency of analog components to drift, over time, away from their calibrated operating  
9 points has also made it difficult to produce an analog difference channel processing  
10 section that consistently and repeatably performs within a given tolerance. A digital  
11 difference channel processing section, if one could be built, would not suffer from these  
12 problems of component selection, calibration, and performance drift, and could  
13 potentially provide increased performance.

14 Further, the analog nature of existing BTSC encoders has made them  
15 inconvenient to use with newly developed, increasingly popular, digital equipment. For  
16 example, television programs can now be stored using digital storage media such as a  
17 hard disk or digital tape, rather than the traditional analog storage media, and in the  
18 future increasing use will be made of digital storage media. Generating a BTSC signal  
19 from a digitally stored program now requires converting the digital audio signals to  
20 analog signals and then applying the analog signals to an analog BTSC encoder. A  
21 digital BTSC encoder, if one could be built, could accept the digital audio signals  
22 directly and could therefore be more easily integrated with other digital equipment.

23 While a digital BTSC encoder would potentially offer several advantages, there  
24 is no simple way to construct an encoder using digital technology that is functionally  
25 equivalent to the idealized encoder 100 defined by the BTSC standard. One problem  
26 is that the BTSC standard defines all the critical components of idealized encoder 100  
27 in terms of analog filter transfer functions. As is well known, while it is generally  
28 possible to design a digital filter so that either the magnitude or the phase response of



1 the digital filter matches that of an analog filter, it is extremely difficult to match both  
2 the amplitude and phase responses without requiring large amounts of processing  
3 capacity for processing data sampled at very high sampling rates or without significantly  
4 increasing the complexity of the digital filter. Without increasing either the sampling  
5 frequency or the filter order, the amplitude response of a digital filter can normally only  
6 be made to more closely match that of an analog filter at the expense of increasing the  
7 disparity between the phase responses of the two filters, and vice versa. However, since  
8 small errors in either amplitude or phase decrease the amount of separation provided by  
9 BTSC encoders, it would be essential for a digital BTSC encoder to closely match both  
10 the amplitude and phase responses of an idealized encoder of the type shown at 100 in  
11 Figure 1.

12 For a digital BTSC encoder to provide acceptable performance, it is critical to  
13 preserve the characteristics of the analog filters of an idealized encoder 100. Various  
14 techniques exist for designing a digital filter to match the performance of an analog  
15 filter; however, in general, none of these techniques produce a digital filter (of the same  
16 order as the analog filter) having amplitude and phase responses that exactly match the  
17 corresponding responses of the analog filter. Ideal encoder 100 is defined in terms of  
18 analog transfer functions specified in the frequency domain, or the s-plane, and to  
19 design a digital BTSC encoder, these transfer functions must be transformed to the z-  
20 plane. Such a transformation may be performed as a "many-to-one" mapping from the  
21 s-plane to the z-plane which attempts to preserve time domain characteristics. However,  
22 in such a transformation the frequency domain responses are subject to aliasing and may  
23 be altered significantly. Alternatively, the transformation may be performed as a "one-  
24 to-one" mapping from the s-plane to the z-plane that compresses the entire s-plane into  
25 the unit circle of the z-plane. However, such a compression suffers from the familiar  
26 "frequency warping" between the analog and digital frequencies. Prewarping can be  
27 employed to compensate for this frequency warping effect, however, prewarping does  
28 not completely eliminate the deviations from the desired frequency response. These

1 problems would have to be overcome to produce a digital BTSC encoder that performs  
2 well and is not unduly complex or expensive.

3 There is therefore a need for overcoming these difficulties and developing a  
4 digital BTSC encoder.

5  
6 **Objects of the Invention**

7 It is an object of the present invention to substantially reduce or overcome the  
8 above-identified problems of the prior art.

9 Another object of the present invention is to provide an adaptive digital weighing  
10 system.

11 Still another object of the present invention is to provide an adaptive digital  
12 weighing system for encoding an electrical information signal of a predetermined  
13 bandwidth so that the information signal can be recorded on or transmitted through a  
14 dynamically-limited, frequency dependent channel having a narrower dynamically-  
15 limited portion in a first spectral region than in at least one other spectral region of the  
16 predetermined bandwidth.

17 And another object of the present invention is to provide a digital BTSC encoder.

18 Yet another object of the present invention is to provide a digital BTSC encoder  
19 that prevents ticking, a problem that can arise with substantially zero input signal levels.

20 And another object of the present invention is to provide a digital BTSC encoder  
21 that uses a sampling frequency that is a multiple of a pilot tone signal frequency of  
22 15,734 Hz so as to prevent interference between the signal information of the encoded  
23 signal with the pilot tone signal.

24 Still another object of the invention is to provide a digital BTSC encoder for  
25 generating a conditioned sum signal and an encoded difference signal that include  
26 substantially no signal energy at the pilot tone frequency of 15,734 Hz.

27 Yet another object of the present invention is to provide a digital BTSC encoder  
28 including a sum channel processing section for generating the conditioned sum signal,

1 and a difference processing section for generating the encoded difference signal, the sum  
2 channel processing section including devices for introducing compensatory phase errors  
3 into the conditioned sum signal to compensate for any phase errors introduced into the  
4 encoded difference signal by the difference channel processing section.

5 And another object of the present invention is to provide a digital BTSC encoder  
6 including a digital variable emphasis unit, the unit including a digital variable emphasis  
7 filter characterized by a variable coefficient transfer function, and the unit further  
8 including a device for selecting the coefficients of the variable coefficient transfer  
9 function as a function of the signal energy of the encoded difference signal.

10 Yet another object of the present invention is to provide a digital BTSC encoder  
11 including a composite modulator for generating a composite modulated signal from the  
12 conditioned sum signal and the encoded difference signal.

13 Still another object of the present invention is to provide a digital BTSC encoder  
14 that may be implemented on a single integrated circuit.  
15

### 16 **Summary of the Invention**

17 These and other objects are provided by an improved BTSC encoder that includes  
18 an input section, a sum channel processing section, and a difference channel processing  
19 section all of which are implemented using digital technology. In one aspect, the input  
20 section includes high pass filters for preventing the BTSC encoder from exhibiting  
21 "ticking". In another aspect, the BTSC encoder uses a sampling frequency that is equal  
22 to an integer multiple of the pilot frequency.

23 In yet another aspect, the sum channel processing section generates a conditioned  
24 sum signal, and the difference channel processing section generates an encoded  
25 difference signal, and the sum channel processing section includes components for  
26 introducing a phase error into the conditioned sum signal to compensate for any phase  
27 errors introduced into the encoded difference signal by the difference channel processing  
28 section.

1 According to yet another aspect, the invention provides an adaptive digital  
2 weighing system for encoding an electrical information signal of a predetermined  
3 bandwidth so that the information signal can be recorded on or transmitted through a  
4 dynamically-limited, frequency dependent channel having a narrower dynamically-  
5 limited portion in a first spectral region than in at least one other spectral region of the  
6 predetermined bandwidth.

7 Still other objects and advantages of the present invention will become readily  
8 apparent to those skilled in the art from the following detailed description wherein  
9 several embodiments are shown and described, simply by way of illustration of the best  
10 mode of the invention. As will be realized, the invention is capable of other and  
11 different embodiments, and its several details are capable of modifications in various  
12 respects, all without departing from the invention. Accordingly, the drawings and  
13 description are to be regarded as illustrative in nature, and not in a restrictive or limiting  
14 sense, with the scope of the application being indicated in the claims.

15  
16 **Brief Description of the Drawings**

17 For a fuller understanding of the nature and objects of the present invention,  
18 reference should be had to the following detailed description taken in connection with  
19 the accompanying drawings in which the same reference numerals are used to indicate  
20 the same or similar parts wherein:

21 Figure 1 shows a block diagram of a prior art idealized BTSC encoder;

22 Figure 2 shows a graph of the spectrum of the composite signal generated in  
23 accordance with the BTSC standards;

24 Figure 3 shows a block diagram of one embodiment of a digital BTSC encoder  
25 constructed according to the invention;

26 Figures 4A-C show block diagrams of low pass filters used in the digital BTSC  
27 encoder shown in Figure 3;

Figure 5 shows a detailed block diagram of the wideband compression unit used in the digital BTSC encoder shown in Figure 3;

Figure 6 shows a block diagram of the spectral compression unit used in the digital BTSC encoder shown in Figure 3;

Figure 7 shows a flow chart used for calculating the filter coefficients of the variable emphasis filter used in the spectral compression unit shown in Figure 6;

Figures 8A-D show block diagrams that illustrate signal scaling that may be used to preserve resolution and decrease the chance of saturation in fixed point implementations of digital BTSC encoders constructed according to the invention;

Figure 9 shows a detailed block diagram of the composite modulator shown in Figures 8B-C; and

Figure 10 shows a block diagram of one preferred embodiment of sum and difference channel processing sections that may be used in digital BTSC encoders constructed according to the invention.

#### Detailed Description of the Drawings

Figure 3 is a block diagram of one embodiment of a digital BTSC encoder 200 constructed according to the invention. Digital encoder 200 is constructed to provide performance that is functionally equivalent to the performance of idealized encoder 100 (shown in Figure 1). As with idealized encoder 100, digital encoder 200 receives the left and right channel audio input signals and generates therefrom the conditioned sum signal and the encoded difference signal, however, in digital encoder 200 these input and output signals are digitally sampled signals rather than continuous analog signals.

The choice of sampling frequency  $f_s$  for the left and right channel audio input signals significantly affects the design of digital encoder 200. In the preferred embodiments, the sampling frequency  $f_s$  is chosen to be an integer multiple of the pilot frequency  $f_H$ , so that  $f_s = Nf_H$  where  $N$  is an integer, and in the most preferred embodiments,  $N$  is selected to be greater than or equal to three. It is important for

1 encoder 200 to insure that the conditioned sum and encoded difference signals do not  
2 contain enough energy at the pilot frequency  $f_H$  to interfere with the pilot tone that is  
3 included in the composite signal. As will be discussed in greater detail below, it is  
4 therefore desirable for at least some of the filters in digital encoder 200 to provide an  
5 exceptionally large degree of attenuation at the pilot frequency  $f_H$ , and this choice of  
6 sampling frequency  $f_s$  simplifies the design of such filters.

7 Digital encoder 200 includes an input section 210, a sum channel processing  
8 section 220 and a difference channel processing section 230. Rather than simply  
9 implementing the difference channel processing section 230 using digital technology, all  
10 three sections 210, 220, 230 are implemented entirely using digital technology. Many  
11 of the individual components in digital encoder 200 respectively correspond to individual  
12 components in idealized encoder 100. In general, the components of digital encoder 200  
13 have been selected so that their amplitude responses closely match the respective  
14 amplitude responses of their corresponding components in encoder 100. This often  
15 results in there being a relatively large difference between the phase responses of  
16 corresponding components. According to one aspect of the present invention, means  
17 are provided in digital encoder 200 for compensating for or nullifying these phase  
18 differences, or phase errors. As those skilled in the art will appreciate, relatively small  
19 phase errors in the difference channel processing section 230 may be compensated for  
20 by introducing similar phase errors in the sum channel processing section 220, and  
21 implementing the sum channel processing section using digital technology simplifies the  
22 introduction of such desired compensating phase errors.

23 The input section 210 of encoder 200 includes two high pass filters 212, 214,  
24 and two signal adders 216, 218. The left channel digital audio input signal L is applied  
25 to the input of high pass filter 212, the latter generating therefrom an output signal that  
26 is applied to positive input terminals of adders 216, 218. The right channel audio input  
27 signal R is applied to the input of high pass filter 214 which generates therefrom an  
28 output signal that is applied to a positive input terminal of adder 216 and to a negative

input terminal of adder 218. Adder 216 generates a sum signal (indicated in Figure 3 as "L+R") by summing the output signals generated by filters 212 and 214. Adder 218 generates a difference signal (indicated in Figure 3 as "L-R") by subtracting the output signal generated by filter 214 from the output signal generated by filter 212. Input section 210 is therefore similar to input section 110 (shown in Figure 1) however, section 210 additionally includes the two high pass filters 212, 214 and generates digital sum and difference signals.

High pass filters 212, 214 preferably have substantially identical responses and preferably remove D.C. components from the left and right channel audio input signals. As will be discussed in greater detail below, this D.C. removal prevents encoder 200 from exhibiting a behavior referred to as "ticking". Since the audio information content of the left and right channel audio input signals of interest is considered to be within a frequency band between 50 Hz and 15,000 Hz, removal of D.C. components does not interfere with the transmission of the information content of the audio signals. Filters 212, 214, therefore, preferably have a cutoff frequency below 50 Hz, and more preferably have a cutoff frequency below 10 Hz so that they will not remove any audio information contained in the audio input signals. Filters 212, 214 also preferably have a flat magnitude response in their passband. In one preferred embodiment, filters 212, 214 are implemented as first order infinite impulse response (IIR) filters, each having a transfer function  $H(z)$  given by the formula shown in the following Equation (1).

$$H(z) = \frac{1 - z^{-1}}{1 + a_1 z^{-1}} \quad (1)$$

Referring again to Figure 3, the sum channel processing section 220 receives the sum signal and generates therefrom the conditioned sum signal. In particular, the sum signal is applied to a 75  $\mu$ s preemphasis filter 222. The filter 222 in turn generates an output signal that is applied to a static phase equalization filter 228. The filter 228 generates an output signal that is applied to a low pass filter 224 of section 220 which in turn generates the conditioned sum signal.

1           The 75  $\mu$ s preemphasis filter 222 provides signal processing that is partially  
2 analogous to the filter 122 (shown in Figure 1) of idealized encoder 100. The amplitude  
3 response of filter 222 is preferably selected to closely match that of filter 122. As will  
4 be discussed further below, means are preferably provided in difference channel  
5 processing section 230 for compensation for any differences in the phase responses of  
6 filters 222 and 122. In one preferred embodiment, filter 222 is implemented as a first  
7 order IIR filter having a transfer function  $H(z)$  that is described by the formula shown  
8 in the following Equation (2).

$$9 \quad H(z) = \frac{b_0 + b_1 z^{-1}}{1 + a_1 z^{-1}} \quad (2)$$

10           Static phase equalization filter 228 performs processing that is not directly  
11 analogous to any of the components in idealized encoder 100 (shown in Figure 1). As  
12 will be discussed in greater detail below, static phase equalization filter 228 is used to  
13 introduce phase errors that compensate for phase errors introduced by difference  
14 processing section 230. Briefly, static phase equalization filter 228 is preferably an "all-  
15 pass" filter having a relatively flat amplitude response and a selected phase response.  
16 In one preferred embodiment, filter 228 is implemented as a first order IIR filter having  
17 a transfer function  $H(z)$  that is described by the formula shown in the following  
18 Equation (3).

$$19 \quad H(z) = \frac{a_0 + z^{-1}}{1 + a_0 z^{-1}} \quad (3)$$

20           Low pass filter 224 provides processing that is partially analogous to bandlimiter  
21 124 (shown in Figure 1) of encoder 100. Low pass filter 224 preferably provides a flat  
22 amplitude response in a pass band of zero to 15 kHz and a relatively sharp cutoff above  
23 15kHz. Filter 224 also preferably provides an exceptionally large degree of attenuation  
24 at the frequency  $f_H$  of the pilot tone (i.e., 15,734 Hz). By providing this exceptionally  
25 large degree of attenuation, filter 224 insures that the conditioned sum signal does not



1 include enough energy at the pilot frequency  $f_H$  to interfere with the pilot tone used in  
2 the composite signal. As discussed above, selecting the sampling frequency  $f_s$  to be  
3 equal to an integer multiple of the pilot frequency  $f_H$  simplifies the design of a filter that  
4 provides an exceptionally large degree of attenuation at the pilot frequency and therefore  
5 simplifies the design of filter 224. Filter 224 preferably has a null at the pilot frequency  
6  $f_H$  and preferably provides at least 70 dB of attenuation for all frequencies from the pilot  
7 frequency  $f_H$  up to one-half the sample rate.

8 Figure 4A is a block diagram illustrating one preferred embodiment of low pass  
9 filter 224. As shown in Figure 4A, filter 224 may be implemented by cascading five  
10 filter sections 310, 312, 314, 316, 318. In one preferred embodiment, all five filter  
11 sections 310, 312, 314, 316, 318 are each implemented as a second order IIR filter  
12 having transfer functions  $H(z)$  which are described by the formula shown in the  
13 following Equation (4).

$$14 \quad H(z) = \frac{b_0 + b_1 z^{-1} + b_2 z^{-2}}{1 + a_1 z^{-1} + a_2 z^{-2}} \quad (4)$$

15 So in the embodiment shown in Fig. 4A, filter 224 is tenth order IIR filter.

16 Referring again to Figure 3, the difference channel processing section 230  
17 receives the difference signal and generates therefrom the encoded difference signal.  
18 The difference signal is applied to a low pass filter 238a which generates therefrom an  
19 output signal that is applied to a fixed preemphasis filter 232a. The latter generates an  
20 output signal that is applied via line 239 to an input terminal of a wideband compression  
21 unit 280, and the encoded difference signal is applied via feedback line 240 to a detector  
22 terminal of wideband compression unit 280. The latter generates an output signal that  
23 is applied via line 281 to an input terminal of a spectral compression unit 290, and the  
24 encoded difference signal is also applied via feedback line 240 to a detector terminal of  
25 unit 290. The latter generates an output signal that is applied to a fixed preemphasis  
26 filter 232b which in turn generates an output signal that is applied to a clipper 254.

1 Clipper 254 generates an output signal that is applied to a low pass filter 238b which  
2 in turn generates the encoded difference signal.

3 Low pass filters 238a, 238b, together form a low pass filter 238 that performs  
4 processing that is partially analogous to the bandlimiter portion of overmodulation  
5 protector and bandlimiter 138 (shown in Figure 1) of idealized encoder 100. Preferably,  
6 filter 238 is implemented so that it is substantially identical to low pass filter 224, which  
7 is used in the sum channel processing section 220. Any phase errors introduced into the  
8 encoded difference signal by filter 238 are therefore compensated by balancing phase  
9 errors that are introduced into the conditioned sum signal by filter 224. Filter 238 is  
10 preferably split into two sections 238a, 238b as shown for reasons which will be  
11 discussed in greater detail below, and filter 238a preferably has a null at the pilot  
12 frequency  $f_H$ .

13 Figures 4B-C are block diagrams illustrating one preferred embodiment of the  
14 respective filters 238a and 238b. As shown in Figure 4B, filter 238a may be  
15 implemented by cascading three filter sections 310, 314, 318 that are identical to three  
16 of the filter sections used in filter 224 (shown in Figure 4A), and as shown in Figure  
17 4C, filter 238b may be implemented by cascading two filter sections 312, 316 that are  
18 identical to the two remaining sections used in filter 224.

19 Fixed preemphasis filters 232a, 232b (shown in Figure 3) together form a fixed  
20 preemphasis filter 232 that performs processing that is partially analogous to filter 132  
21 (shown in Figure 1) of idealized encoder 100. The amplitude response of filter 232 is  
22 preferably selected to closely match the amplitude response of filter 132. In one  
23 embodiment, the phase responses of filters 232 and 132 are significantly different, and  
24 as will be discussed in greater detail below, the resulting phase errors are compensated  
25 for by filters 222 and 228 in the sum channel processing section 220. Filter 232 is  
26 preferably split into two sections 232a, 232b as shown for reasons that will be discussed  
27 below. In one preferred embodiment, filters 232a, 232b are each implemented as first

1 order IIR filters having transfer functions  $H(z)$  that are described by the formula shown  
2 in Equation (2). So in this embodiment filter 232 is a second order IIR filter.

3 In one preferred embodiment, the difference between the phase responses of  
4 filters 232b and 132a closely matches the difference between the phase responses of  
5 filters 222 and 122. Therefore, the phase error introduced into the encoded difference  
6 signal by fixed preemphasis filter 232b is balanced by the phase error introduced into  
7 the conditioned sum signal by 75  $\mu$ s preemphasis filter 222. Further, in this  
8 embodiment, the phase response of static phase equalization filter 228 is selected to  
9 closely match the difference between the phase responses of fixed preemphasis filter  
10 232a and filter 132b, so that any phase error introduced into the encoded difference  
11 signal by filter 232a is balanced by a compensatory phase error in the conditioned sum  
12 signal that is introduced by static phase equalization filter 228.

13 Clipper 254 performs processing that is partially analogous to the overmodulation  
14 protection portion of overmodulation protector and bandlimiter 138 (shown in Figure 1)  
15 used in idealized encoder 100. Briefly, clipper 254 is implemented as a thresholding  
16 device, however, the operation of clipper 254 will be discussed in greater detail below.

17 Wideband compression unit 280 and spectral compression unit 290 perform  
18 processing functions that are partially analogous to that of units 180 and 190,  
19 respectively, of idealized encoder 100 (shown in Figure 1). Briefly, wideband  
20 compression unit 280 dynamically compresses the signal on line 239 as a function of the  
21 overall energy level in the encoded difference signal and spectral compression unit 290  
22 further compresses high frequency portions of the signal on line 281 as a function of  
23 high frequency energy in the encoded difference signal.

24 Figure 5 shows a block diagram of a preferred embodiment of a digital wideband  
25 compression unit 280. Unit 280 includes a digital signal multiplier 434, a digital signal  
26 multiplier 446, a wideband digital bandpass filter 448, a digital RMS level detector 450,  
27 and a digital reciprocal generator 458. These components perform processing functions  
28 partially analogous to those performed by amplifier 134, amplifier 146, bandpass filter

1 148, RMS level detector 150, and reciprocal generator 152, respectively, of idealized  
2 encoder 100 (shown in Figure 1). The encoded difference signal is applied via feedback  
3 path 240 to an input of wideband digital bandpass filter 448 which generates therefrom  
4 an output signal that is applied to RMS level detector 450. The latter generates an  
5 output signal that is representative of the RMS value of the output signal generated by  
6 filter 448 and applies this output signal via line 450a to reciprocal generator 458.  
7 Reciprocal generator 458 then generates an output signal representative of the reciprocal  
8 of the signal on line 450a and applies this output signal via line 458a to multiplier 446.  
9 Digital signal multiplier 446 multiplies the signal on line 458a by the value of the gain  
10 setting, Gain D, and thereby generates an output signal that is representative of D times  
11 the reciprocal of the RMS value and that is applied via line 446a to an input terminal  
12 of multiplier 434. The output signal generated by fixed preemphasis filter 232a is  
13 applied via line 239 to another input terminal of multiplier 434. Multiplier 434  
14 multiplies the signal on line 239 by the signal on line 446a and thereby generates the  
15 output of wideband compression unit 280 which is applied via line 281 to the input of  
16 spectral compression unit 290.

17 Wideband digital bandpass filter 448 is designed to have an amplitude response  
18 that closely matches the amplitude response of bandpass filter 148 (shown in Figure 1).  
19 One preferred choice is to select filter 448 so that the mean square difference between  
20 its amplitude response and that of filter 148 are minimized. In one embodiment, the  
21 phase response of filters 448 and 148 are substantially different, but since the output  
22 signal of the RMS level detector 450 is substantially insensitive to the phase of its input  
23 signal, these phase differences may be ignored. In one preferred embodiment, wideband  
24 bandpass filter 448 is implemented as a second order IIR filter having a transfer function  
25  $H(z)$  that is described by the formula shown in Equation (4).

26 RMS level detector 450 is designed to approximate the performance of detector  
27 150 which is used in idealized encoder 100 (shown in Figure 1). Detector 450 includes  
28 a signal squaring device 452, a signal averaging device 454, and a square root device

1 456. Squaring device 452 squares the signal generated by bandpass filter 448 and  
2 applies this squared signal via line 452a to averaging device 454. The latter computes  
3 a time weighted average of the signal on line 452a and applies the average via line 454a  
4 to square root device 456. Square root device 456 calculates the square root of the  
5 signal on line 454a and thereby generates a signal on line 450a representative of the  
6 RMS value of the output signal generated by wideband digital bandpass filter 448.

7 Averaging device 454 includes a digital signal multiplier 460, a digital signal  
8 adder 462, a digital signal multiplier 464, and a delay register 465. The output signal  
9 generated by squaring device 452 is applied via line 452a to one input of multiplier 460  
10 which generates an output signal by scaling the signal on line 452a by a constant  $\alpha$ .  
11 The scaled output signal generated by multiplier 460 is applied to one input of adder 462  
12 and an output signal generated by delay register 465 is applied to the other input of  
13 adder 462. Adder 462 generates an output signal by summing the signals present at its  
14 two inputs, and this summed signal is the output signal of averaging device 454 and is  
15 applied to square root device 456 via line 454a. This summed signal is also applied to  
16 one input of multiplier 464 which generates an output signal by scaling the summed  
17 signal by the constant  $(1-\alpha)$ . The output signal generated by multiplier 464 is applied  
18 to an input of delay register 465. Those skilled in the art will appreciate that averager  
19 454 is a recursive filter and implements a digital averaging function that is described by  
20 the recursive formula shown in the following Equation (5).

$$y(n)=\alpha x(n)+(1-\alpha)y(n-1) \quad (5)$$

24 in which  $y(n)$  represents the current digital sample of the signal output by averager 454  
25 on line 454a,  $y(n-1)$  represents the previous digital sample of the signal output by  
26 averager 454 on line 454a, and  $x(n)$  represents the current digital sample of the signal  
27 output by squaring device 452 on line 452a. Those skilled in the art will appreciate that  
28 averager 454 provides a digital approximation of the analog averaging function defined

1 in the BTSC standard and implemented by RMS level detector 150 (shown in Figure 1)  
2 of idealized encoder 100. The constant  $\alpha$  is preferably chosen so that the time constant  
3 of RMS level detector 450 closely approximates the corresponding time constant  
4 specified in the BTSC standard for RMS level detector 150.

5 Digital square root device 456 and digital reciprocal generator 458 are shown in  
6 Figure 5 as two separate components, however, those skilled in the art will appreciate  
7 that these two components may be implemented using a single device that generates an  
8 output signal representative of the reciprocal of the square root of its input signal. Such  
9 a device may be implemented for example as a memory look up table (LUT), or  
10 alternatively may be implemented using processing components that calculate a Taylor  
11 series polynomial approximation of the inverse square root function.

12 Figure 6 shows a block diagram of a preferred embodiment of spectral  
13 compression unit 290. Unit 290 includes a variable preemphasis/deemphasis unit  
14 (hereinafter referred to as the "variable emphasis unit") 536, a signal multiplier 540, a  
15 spectral band pass filter 542, and an RMS level detector 544, and these components  
16 provide processing which is partially analogous to that of variable emphasis filter 136,  
17 amplifier 140, bandpass filter 142, and RMS level detector 144, respectively, of  
18 idealized encoder 100 (shown in Figure 1). The encoded difference signal is applied via  
19 feedback line 240 to an input of signal multiplier 540 which generates an output signal  
20 by multiplying the encoded difference signal by the fixed gain setting value of Gain C.  
21 The amplified output signal generated by signal multiplier 540 is applied to spectral  
22 bandpass filter 542 which generates an output signal that is applied to RMS level  
23 detector 544. The latter generates an output signal that is applied via line 544a to a  
24 control terminal of variable emphasis unit 536, and the output signal generated by  
25 wideband compressor unit 280 is applied via line 281 to an input terminal of unit 536.  
26 The latter dynamically varies the frequency response applied to the signal on line 281  
27 according to a function of the signal on line 544a, the latter signal being a function of  
28 the signal energy of the encoded difference signal within the frequency band passed by

1 spectral band pass filter 542. The output signal of unit 290, which is generated by unit  
2 536 and is applied to the input of fixed preemphasis filter 232b, is thus dynamically  
3 compressed a greater amount in the high frequency portions of the signal than in the  
4 remainder of the spectrum of interest.

5 Spectral bandpass filter 542 is designed to have an amplitude response that  
6 closely matches the amplitude response of bandpass filter 142 (shown in Figure 1) of  
7 idealized encoder 100. As with filter 448 (shown in Figure 5), one preferred choice is  
8 to select filter 542 so that the difference between its RMS amplitude response and that  
9 of filter 142 are minimized. In one embodiment, the phase response of filters 542 and  
10 142 are substantially different, but since the RMS output of RMS level detector 544 is  
11 substantially insensitive to the phase of the input to the detector, these phase differences  
12 may be ignored. In one preferred embodiment, spectral bandpass filter 542 is  
13 implemented as a cascade of three second order IIR filter sections 542a, 542b, 542c (as  
14 shown in Figure 6) each having a transfer function  $H(z)$  that is described by the formula  
15 shown in Equation (4).

16 RMS level detector 544 is designed to approximate the performance of detector  
17 144 which is used in idealized encoder 100 (shown in Figure 1). Detector 544 includes  
18 a signal squaring device 552, a signal averaging device 554, and a square root device  
19 556. Squaring device 552 squares the signal generated by spectral bandpass filter 542  
20 and applies this squared signal via line 552a to averaging device 554. The latter  
21 functions similarly to averaging device 454 (shown in Figure 5) which is used in the  
22 wideband compression unit 280, although device 554 preferably uses a constant  $\beta$   
23 different from the constant  $\alpha$ . The behavior of averaging device 554 is of course also  
24 described by Equation (5) when  $\beta$  is substituted for  $\alpha$ . The constant  $\beta$  is preferably  
25 selected for device 554 so that the time constant of RMS level detector 544 closely  
26 approximates the corresponding time constant specified by the BTSC standard for RMS  
27 level detector 144 (shown in Figure 1). Averaging device 554 computes a time  
28 weighted average of the signal on line 552a and applies the average to square root

1 device 556 via line 554a. Square root device 556 calculates the square root of the signal  
2 on line 554a and thereby generates a signal on line 544a as a function of the RMS value  
3 of the output signal generated by spectral bandpass filter 542.

4 The signal on line 544a is applied to the control terminal of variable emphasis  
5 unit 536. Variable emphasis unit 536 performs processing that is partially analogous  
6 to filter 136 (shown in Figure 1) of idealized encoder 100. As defined by the BTSC  
7 standard, filter 136 has amplitude and phase responses that vary as a function of the  
8 output signal generated by RMS level detector 144. One preferred way to implement  
9 unit 536 so that it has similar variable responses is to use a digital filter having variable  
10 coefficients that determine its transfer function and to select the value of the coefficients  
11 during any given sample period, or group of sample periods, based on the value of the  
12 signal on line 544a.

13 Figure 6 shows one embodiment of variable emphasis unit 536 which includes  
14 a logarithmic generator 558, a variable emphasis filter 560, and a look up table LUT  
15 562. The output signal generated by RMS level detector 544 is applied via line 544a  
16 to logarithmic generator 558. The latter generates a signal on line 558a that is  
17 representative of the logarithm of the signal on line 544a and applies this signal to LUT  
18 562. LUT 562 generates an output signal selected from the LUT and representative of  
19 filter coefficients to be used by variable emphasis filter 560. The coefficients thus  
20 generated by LUT 562 are applied via line 562a to a coefficient selection terminal of  
21 variable emphasis filter 560. The output signal generated by wideband compression unit  
22 280 is applied to an input terminal of variable emphasis filter 560 via line 281. Variable  
23 emphasis filter 560 generates the output signal of spectral compression unit 290 which  
24 is applied to the input of fixed preemphasis filter 232b.

25 Variable emphasis filter 560 is designed to have a variable amplitude response  
26 that closely matches the variable amplitude response of filter 136 (shown in Figure 1)  
27 of idealized encoder 100. Variable emphasis filter 560 provides a similar variable  
28 response by using a variable coefficient transfer function (i.e., the coefficients of the



1 transfer function  $H(z)$  of filter 560 are variable) and by allowing LUT 562 to select the  
2 value of the coefficients during intervals based on the sample period. As will be  
3 described in greater detail below, LUT 562 stores the values of the filter coefficients  
4 used by filter 560, and during each sample period, or during any selected group of  
5 sample periods, LUT 562 selects a set of filter coefficients as a function of the output  
6 signal generated by logarithmic generator 558 on line 558a. In one preferred  
7 embodiment, variable emphasis filter 560 is implemented as a first order IIR filter  
8 having a transfer function  $H(z)$  that is described by the formula shown in the following  
9 Equation (6).

10 
$$H(z) = \frac{b_0 + b_1 z^{-1}}{1 + a_1 z^{-1}} \quad (6)$$

11 in which the filter coefficients  $b_0$ ,  $b_1$ , and  $a_1$  are variables that are selected by LUT 562.  
12 Methods of selecting the values for the filter coefficients used by filter 560 as well as  
13 by the other filters of encoder 200 will be discussed below.

14 In Figure 6, logarithmic generator 558 and square root device 556 are shown,  
15 for convenience, as two separate components. However, those skilled in the art will  
16 appreciate that these two components may be implemented using a single device, such  
17 as a LUT, or alternatively using processing components that calculate a Tayler series  
18 polynomial approximation of the logarithm of the signal on line 554a and by then  
19 dividing this value by two. Similarly, in alternative implementations, the functions  
20 performed by logarithmic generator 558, square root device 556, and LUT 562 may be  
21 incorporated into a single device.

22 As stated above, high pass filters 212, 214 (shown in Figure 3) are useful in  
23 blocking DC components so as to prevent encoder 200 from exhibiting a behavior  
24 known as "ticking". In the context of a stereophonic encoder, ticking refers to  
25 relatively low frequency oscillatory behavior of the encoder caused when there is no  
26 signal present at the left and right channel audio inputs. The desired behavior of a  
27 stereophonic system when there is no signal present at the audio inputs is to remain

1 silent; however, an encoder connected through a decoder to loudspeakers and exhibiting  
2 ticking causes the loudspeakers to emit an audible sound, referred to as a "tick", with  
3 a somewhat regular period that is partially dependent on the time constant of the RMS  
4 level detector in the wideband compressor. More particularly, in encoder 200, when  
5 only very low level signals are present at the audio inputs, and when there is a D.C.  
6 component, or an offset, present in the signal on line 239, wideband compression unit  
7 280 tends to behave in an unstable fashion that causes ticking.

8 Consider the case where only a low level audio signal is present on line 239.  
9 In such a case, the output of RMS level detector 450 on line 450a becomes very small,  
10 which in turn causes the gain of multiplier 434 to become very large. If such a low  
11 level audio signal on line 239 is constant in its amplitude, the wideband compression  
12 unit 280 reaches a steady-state condition after some time (determined by the time  
13 constant  $\alpha$  applied to multiplier 460), because the encoded difference signal is fed back  
14 on line 240 to the wideband compression unit 280. Because the feedback is arranged  
15 to be negative, when the audio signal on line 239 increases in its amplitude, the signal  
16 on line 450a increases, which in turn causes the gain of multiplier 434 to decrease.  
17 When the audio signal on line 239 decreases in its amplitude, the signal on line 450a  
18 decreases, which in turn causes the gain of multiplier 434 to increase.

19 However, should there be a significant dc signal present on line 239 in addition  
20 to a low level audio signal, the dc signal is blocked from the feedback process by the  
21 action of wideband bandpass filter 448, which has zero response to dc signals. In  
22 particular, any dc present in the encoded difference signal at line 240 is blocked by filter  
23 448, and is not sensed by RMS level detector 450. Any dc signal present on line 239  
24 will be amplified by multiplier 434 along with any audio signal present on line 239, but  
25 the amplification factor or gain will be determined only by the audio signal amplitude  
26 as sensed by RMS level detector 450 after filtering by filter 448.

27 As noted above, whenever the amplitude of the audio signal on line 239 varies,  
28 the gain of multiplier 434 varies inversely. During such variations in gain, any dc

1 present on line 239 will also be subjected to variable amplification, in effect modulating  
2 the dc signal, thereby producing an ac signal. In this fashion such dc signals may be  
3 modulated so as to create significant audio-band signals which will not be rejected by  
4 filter 448, and are therefore sensed by detector 450. When the audio signal on line 239  
5 is small compared to the dc on line 239, small variations in the audio signal level, which  
6 cause changes in the gain of amplifier 434, can cause a large change in the dc level  
7 (which amount to an ac signal) at line 281 through this modulation process. The ac  
8 signal produced tends to increase the overall signal which passes through filter 448,  
9 regardless of whether the audio signal variation that gave rise to the ac signal was an  
10 increase or decrease in signal level. In particular, should the level of the audio signal  
11 on line 239 decrease, the negative feedback process normally increases the gain of  
12 multiplier 434. However, if a sufficient dc signal is present in line 239, a decrease in  
13 audio signal on line 239 can cause an increase in the signal sensed by detector 450,  
14 forcing the gain of multiplier 434 to decrease. In this fashion, the negative feedback  
15 process is reversed, and the feedback becomes positive.

16 Such positive feedback will only persist so long as the modulated dc signal at line  
17 281 is sufficiently large compared to any audio signal present on line 281, when  
18 weighted by the response of all the filters and signal modifiers between line 281 and the  
19 output of filter 448. Once the gain of multiplier 434 decreases sufficiently such that the  
20 modulated dc signal in line 281 no longer provides a significant input to detector 450,  
21 the feedback reverts to its normal negative sense. In accordance with the time constant  
22 of detector 450, the system will re-acquire an appropriate gain level based on the level  
23 of the audio signal in line 239. But, if sufficient dc remains in the signal in line 239,  
24 the cycle will repeat itself once the gain of multiplier 434 increases sufficiently. During  
25 each such period of positive feedback, a sharp change in the dc level of line 281 is  
26 produced. This change is audible, and sounds somewhat similar to the 'tick' of a clock.  
27 Since such dc changes will occur with some regularity, based on the time constant of  
28 detector 450, the phenomenon is often referred to as 'ticking'.

1           One method of preventing ticking is to remove any dc components present in the  
2 input signal to encoder 200. This is accomplished by high pass filters 212 and 214.  
3 Further, high pass filters 212 and 214 help to maximize the dynamic range of encoder  
4 200 by removing dc components which otherwise may use up valuable dynamic range.

5           As stated above and as shown in Figure 3, low pass filter 238 is preferably  
6 implemented as two filters 238a and 238b. Splitting filter 238 in this fashion provides  
7 several advantages. If filter 238a were eliminated, and the entire filter 238 were located  
8 after clipper 254 (i.e., in the location of filter 238b) then any components above 15 kHz  
9 on the audio input signals may cause instability in the wideband compression unit 280  
10 similar to the above-described ticking behavior. This occurs because any signal  
11 components above 15 kHz on line 239 will be amplified by multiplier 434 (shown in  
12 Figure 5) and because such components will not be sensed by RMS level detector 450  
13 since such components are filtered out by the low pass filter following clipper 254  
14 (shown in Figure 3). Since detector 450 increases the gain of multiplier 434 when it  
15 senses the absence of a signal, the gain of multiplier 434 can become relatively large  
16 when the signal on line 239 consists of little audio signal (under 15kHz) information,  
17 but significant high-frequency (over 15kHz) information. Multiplier 434 then amplifies  
18 the high-frequency information, which can generate large signals that are likely to be  
19 clipped by components in processing section 230. This clipping can produce harmonics  
20 which may alias to low frequencies that will be sensed by RMS level detector 450  
21 causing the system to tick as described previously. Alternatively, if filter 238b were  
22 eliminated and the entire filter 238 were located before fixed preemphasis filter 232a  
23 (i.e., in the location of filter 238a) then high frequency artifacts generated by clipper  
24 254 would be included in the encoded difference signal and could interfere with the pilot  
25 tone in the composite signal. Therefore, splitting filter 238 as shown provides an  
26 optimal arrangement whereby filter 238a prevents ticking in compression unit 280 and  
27 filter 238b filters high frequency artifacts that may be generated by clipper 254.

Fixed preemphasis filter 232 is also preferably split into two filters 232a, 232b as shown in Figure 3. Filter 232 typically requires relatively large gain at high frequencies, as is specified in the BTSC standard, and using only a single section to implement filter 232 increases the likelihood of filter 232 causing clipping. It is advantageous to apply some of the gain of filter 232 on the input side of wideband compression unit 280 (with filter 232a) and to apply some of the gain of filter 232 on the output side of wideband compression unit 280 (with filter 232b). Since unit 280 normally compresses its input signal, distributing the gain of filter 232 around the compression provided by unit 280 decreases that the likelihood that the gain of filter 232 will cause an overflow condition.

To minimize size, power consumption, and cost, encoder 200 is preferably implemented using a single digital signal processing chip. Encoder 200 has been successfully implemented using one of the well known Motorola DSP 56002 digital signal processing chips (this implementation shall be referred to hereinafter as the "DSP Embodiment"). The Motorola DSP 56002 is a fixed point twenty-four bit chip, however, other types of processing chips, such as floating point chips, or fixed point chips having other word lengths, could of course be used. The DSP Embodiment of encoder 200, uses a sampling frequency  $f_s$  that is equal to three times the pilot frequency  $f_H$  (i.e.,  $f_s = 47202$  Hz). The following Table 1 lists all of the filter coefficients used in the DSP Embodiment of encoder 200 except those used in variable emphasis filter 560.

TABLE 1

Low Pass Filter (Section #1) 310 (Equation 4)	Low Pass Filter (Section #2) 312 (Equation 4)
$b_0=0.18783270$	$b_0=0.44892888$
$b_1=0.36310206$	$b_1=0.70268024$
$b_2=0.18783270$	$b_2=0.44892888$
$a_1=-0.388832539$	$a_1=0.12638618$

1	$a_2=0.12709286$	$a_2=0.47415181$
2	Low Pass Filter (Section #3) 314	Low Pass Filter (Section #4) 316
3	(Equation 4)	(Equation 4)
4	$b_0=0.70674027$	$b_0=0.85733126$
5	$b_1=0.87637648$	$b_1=0.91505047$
6	$b_2=0.70674027$	$b_2=0.85733126$
7	$a_1=0.53702472$	$a_1=0.74320197$
8	$a_2=0.75298490$	$a_2=0.89832289$
9	Low Pass Filter (Section #5) 318	Wideband Bandpass Filter 448
10	(Equation 4)	(Equation 4)
11	$b_0=0.92737972$	$b_0=-0.02854672$
12	$b_1=0.92729649$	$b_1=-0.18789051$
13	$b_2=0.92737972$	$b_2=0.21643723$
14	$a_1=0.82951974$	$a_1=-1.75073141$
15	$a_2=0.97259237$	$a_2=0.75188028$
16	Fixed Preemphasis Filter 238a	Fixed Preemphasis Filter 238b
17	(Equation 2)	(Equation 2)
18	$b_0=9.50682180$	$b_0=4.357528$
19	$b_1=-9.00385663$	$b_1=-3.24843271$
20	$a_1=-0.497064357$	$a_1=0.10881833$
21	Spectral Bandpass Filter	Spectral Bandpass Filter
22	(Section #1) 542a	(Section #2) 542b
23	(Equation 4)	(Equation 4)
24	$b_0=0.646517841$	$b_0=0.850281278$
25	$b_1=0.649137616$	$b_1=-0.850247036$
26	$b_2=0.0$	$b_2=0.0$

1	$a_1=0.557821757$	$a_1=-0.602159890$
2	$a_2=0.0$	$a_2=0.0$
3	Spectral Bandpass Filter	Static Phase Equalization Filter 224
4	(Section #3) 542c	(Equation 3)
5	(Equation 4)	
6	$b_0=0.597678418$	$a_0=0.9029$
7	$b_1=-1.195357770$	
8	$b_2=0.597679348$	
9	$a_1=-0.776566094$	
10	$a_2=0.352824276$	
11	75 $\mu$ s preemphasis filter 222	High Pass Filters 212, 214
12	(Equation 2)	(Equation 1)
13	$b_0=4.57030583$	$a_1=-0.999$
14	$b_1=-3.43823487$	
15	$a_1=0.131778883$	
16		

In the DSP Embodiment of encoder 200 the value of the constant  $\alpha$  that is used by averager 454 (shown in Figure 5) in wideband compression unit 280 is set equal to 0.0006093973517, and the value of the constant  $\beta$  that is used by averager 554 (shown in Figure 6) in spectral compression unit 290 is set equal to 0.001825967. Further, the values of Gain C and Gain D used by amplifiers 540 and 446, respectively, in the spectral and wideband compression units are set equal to 0.5011872 and 0.08984625, respectively, to insure that the DSP Embodiment of encoder 200 performs similarly to encoder 100.

Figure 7 shows a flow chart 700 that describes one preferred method for pre-calculating all the sets of filter coefficients used by variable emphasis filter 560 (shown in Figure 6) in the DSP Embodiment of encoder 200. Prior to operation of encoder 200, all the sets of filter coefficients used by filter 560 are pre-calculated (e.g., by a general purpose digital computer) and are loaded into LUT 562. In the DSP

Embodiment of encoder 200, filter 560 has a transfer function  $H(z)$  that is described by Equation (6) so flow chart 700 describes the calculation of the coefficients  $b_0$ ,  $b_1$ , and  $a_1$ . As specified in the BTSC standard, the transfer function of  $S(f,b)$  of analog filter 136 (shown in Figure 1) to which filter 560 partially corresponds, is described by the formula shown in the following Equation (7).

$$S(f, b) = \frac{1 + \frac{\left(\frac{jf}{F}\right)(b+51)}{(b+1)}}{1 + \frac{\left(\frac{jf}{F}\right)(1+51b)}{(b+1)}} \quad (7)$$

in which  $F$  is equal to 20.1 kHz.

The first step in flow chart 700 is an initialization step 710 during which several variables are initialized. Specifically, the sampling frequency  $f_s$  is set equal to 47202 Hz, and the period  $T$  is set equal to  $1/f_s$ . The variable  $W$  is a digital version of the variable  $F$  used in Equation (7) and is set equal to  $\pi(20.1 \text{ kHz})/f_s$ . The variable  $\text{dBRANGE}$  represents the desired signal range of the RMS detectors in the spectral compression unit, and for the DSP Embodiment  $\text{dBRANGE}$  is set equal to 72.25 dB. The variable  $\text{dBRES}$  relates to the sensitivity of filter 560 to changes in the energy level of the encoded difference signal. In the DSP Embodiment of encoder 200,  $\text{dBRES}$  is set equal to 0.094 dB so that filter 560 will use coefficients based on the value of the signal on line 558a quantized to the nearest 0.094 dB. The variable  $N$  equals the total number of sets of filter coefficients used in filter 560 and  $N$  is calculated by dividing the sensitivity ( $\text{dBRES}$ ) into the range ( $\text{dBRANGE}$ ) and rounding to the nearest integer. In the DSP Embodiment,  $N$  is equal to 768 although those skilled in the art will appreciate that this number can be changed which will vary the sensitivity or the range. In the DSP Embodiment, LUT 562 stores 769 sets of coefficients for filter 560, and of course if  $N$  is increased, a larger LUT will be used to store the extra sets of filter



1 coefficients. Further, those skilled in the art will appreciate that logarithmic generator  
2 558 scales the signal on line 558a and thereby reduces the number of filter coefficient  
3 sets stored by LUT 562, for a given minimum quantization of the value of the signal on  
4 line 558a. However, in other embodiments, logarithmic generator 558 may be  
5 eliminated and LUT 562 may store a correspondingly larger number of filter coefficient  
6 sets. Finally, the variables Scale and Address are set equal to 32 and zero, respectively.  
7 The variable Scale, which is only used in fixed point implementations, is selected so that  
8 all the filter coefficients have a value greater than or equal to negative one and less than  
9 one (where the filter coefficients are represented in twos complement).

10 Following initialization step 710, a coefficient generation step 720 is executed.  
11 During the first execution of step 720, variables  $b_0(0)$ ,  $b_1(0)$ , and  $a_1(0)$  are calculated  
12 which correspond to values of the coefficients  $b_0$ ,  $b_1$ , and  $a_1$  that are to be stored at  
13 address location zero of LUT 562. Following this execution of step 720, an  
14 incrementing step 730 is executed during which the value of the variable Address is  
15 incremented. Following step 730 a comparison step is executed during which the values  
16 of the variables Address and N are compared. If Address is less than or equal to N,  
17 then steps 720, 730, and 740 are reexecuted iteratively so that values of the coefficients  
18  $b_0$ ,  $b_1$ , and  $a_1$  are calculated for each of the 769 addresses of LUT 562. When step 740  
19 detects that the value of Address is greater than N, then all 769 sets of coefficients have  
20 been calculated and execution of flow chart 700 proceeds to a concluding step 750.

21 In coefficient generation step 720, the variable dBFS corresponds to the output  
22 of logarithmic generator 558. As the value of the variable Address ranges from zero  
23 to 769, the value of dBFS ranges from about -72.25 to zero dB corresponding to the  
24 signal range of about 72.25 dB provided by the DSP Embodiment of encoder 200  
25 (where zero dB corresponds to the full modulation). The variable RMSd corresponds  
26 to the output of the analog RMS level detector 144 (shown in Figure 1), and as the  
27 variable Address ranges from zero to 769, the value of RMSd ranges from about -36 to  
28 36 dB corresponding to the signal range of 72 dB provided by typical prior art analog

BTSC encoders. The variable RMSb is a linear version of the variable RMSd, and RMSb corresponds to the variable b in the transfer function  $S(f,b)$  described in Equation (7). The variables K1 and K2 correspond to the  $(b+51)/(b+1)$  and the  $(51b+1)/(b+1)$  terms, respectively, in Equation (7). The coefficients  $b_0$ ,  $b_1$ , and  $a_1$  are calculated as shown in step 720 using the variables K1, K2, W, and Scale.

Figure 8A shows a block diagram that illustrates one method of using the DSP Embodiment in an analog system, and in Figure 8A, all components that are implemented in the 56002 integrated circuit are indicated at 200a. The analog system supplies analog left and right channel audio input signals (shown in Figure 8A as "L" and "R", respectively) and these signals are applied to the inputs of sixteen bit analog-to-digital converters 810 and 812, respectively. Converters 810, 812 sample their analog input signals using a sampling frequency  $f_s$  that is equal to 47,202 Hz (i.e.,  $3f_H$ ) and converters 810, 812 thereby generate sequences of sixteen bit digital samples that are representative of the left and right channel audio input signals, respectively. The signals generated by converters 810 and 812 are applied to encoder 200a where they are received by modules 292 and 294, respectively. Modules 292, 294 are "divide by sixteen" modules (which divide the amplitude of their inputs by a factor of 16) and therefore generate output signals that are equal to their input signals divided by sixteen. Since division by any power of two is easily accomplished in a digital system by using a shift register, modules 292, 294 are implemented as shift registers that shift their inputs by four binary places.

As stated above, the 56002 chip is a fixed point twenty-four bit processor, and the samples applied to the chip by converters 810, 812 are in twos complement representation. Modules 292, 294 divide the samples generated by converters 810, 812 by sixteen and thereby place each of the samples in the middle of a twenty-four bit word. So in every sample generated by modules 292, 294, the four most significant bits are sign bits and the four least significant bits are zeros, and the sixteen bits in the middle of the word correspond to one sample generated by one of the converters 810,

1 812. Padding each twenty-four bit word with sign bits at the high end and with zeros  
2 at the low end in this fashion preserves accuracy and allows intermediate signals  
3 generated by encoder 200a to exceed sixteen bits without causing an error condition such  
4 as an overflow.

5 In encoder 200a, each bit of the twenty-four bit word corresponds roughly to 6dB  
6 of signal range, and therefore modules 292, 294 correspond to -24 dB (i.e., negative 6  
7 times 4) attenuators. If the analog input signals applied to converters 810, 812 are  
8 considered for reference purposes as zero dB signals, then the signals generated by  
9 modules 292, 294 are attenuated by 24 dB.

10 Input section 210 receives the twenty-four bit words generated by modules 292,  
11 294 and generates therefrom the sum signal that is applied to the sum channel processing  
12 section 220. The output signal generated by sum channel processing section 220 is  
13 applied to a "times 16 module" (which may be considered as a 24 dB amplifier) 296.  
14 Module 296 thereby compensates for the -24dB attenuators 292, 294 and brings the  
15 output of sum channel processing section 220 back to 100% modulation (i.e., back to  
16 "full scale"). The output signal generated by module 296 is applied to a sixteen bit  
17 digital-to-analog converter 814 which in turn generates an analog conditioned sum  
18 signal.

19 Input section 210 also generates the difference signal that is applied to the  
20 difference channel processing section 230. As stated above, as a result of modules 292,  
21 294, the difference signal may be considered as being attenuated by 24 dB. In the DSP  
22 embodiment of encoder 200a, clipper 254 (shown in Figure 3) of the difference  
23 processing section 230 includes an 18 dB amplifier (which is implemented as a multiply  
24 by eight). That is, clipper 254 amplifies the signal generated by fixed preemphasis filter  
25 232b by 18 dB and then clips this amplified signal so that the output signal generated  
26 by clipper 254 will not exceed a number that is 6dB down from full modulation. The  
27 signal applied from clipper 254 to low pass filter 238b therefore has one bit (or 6 dB)  
28 of "headroom", so filter 238b may generate an output signal that is 6 dB greater than

1 its input signal without causing saturation. It is desirable to leave this one bit of  
2 headroom because the transient response of filter 238b includes some ringing that may  
3 cause it to temporarily generate an instantaneous output signal that is greater than its  
4 instantaneous input signal and the headroom thereby prevents any ringing in filter 238b  
5 from causing a saturation condition. Referring again to Figure 8A, the output signal  
6 generated by filter 238b is applied to a sixteen bit digital-to-analog converter 816 which  
7 in turn generates an output signal that is applied to a 6 dB analog amplifier 820. Both  
8 D/A converters 814 and 816 are intended to be complete converters, which include the  
9 well-known analog anti-image filters as part of their functionality. Anti-image filters  
10 are analog filters applied to the analog signal following digital to analog conversion  
11 which serve to attenuate any images of the desired signal which are mirrored about the  
12 sample frequency and multiples thereof. Converters 814 and 816 are assumed to be  
13 substantially identical to one another, running at the same sample rate and containing  
14 substantially the same anti-image filtering. Such converters are commonly available in  
15 commercial embodiments, such as the Crystal Semiconductor CS4328. Amplifier 820  
16 amplifies its input signal by 6 dB and thereby brings the encoded difference signal back  
17 up to full scale. While Figure 8A shows encoder 200a coupled to analog-to-digital  
18 converters 810, 812 for receiving analog audio signals, in digital systems converters  
19 810, 812 may of course be eliminated so that encoder 200a receives the digital audio  
20 signals directly.

21 Figure 8B shows a block diagram of one preferred embodiment of a BTSC  
22 encoder 200b constructed according to the invention and configured as part of an analog  
23 system. Encoder 200b is similar to encoder 200a, however, in encoder 200b module  
24 296 amplifies its input signal by 18 dB (by multiplying by 8) rather than by 24 dB as  
25 in encoder 200a. The output signal generated by module 296 is a scaled version of the  
26 conditioned sum signal and is shown in Figure 8B as S. Also, encoder 200b includes  
27 a module 298 for amplifying the output signal generated by difference channel  
28 processing section 230 by 6 dB (by multiplying by two). The output signal generated

1 by module 298 is a scaled version of the encoded difference signal and is shown in  
2 Figure 8B as D. Further, encoder 200b includes a composite modulator 822 for  
3 receiving the signals S and D and for generating therefrom a digital version of the  
4 composite signal. The digital composite signal generated by modulator 822 is applied  
5 to a digital-to-analog converter 818 the output of which is an analog version of the  
6 composite signal. D/A converter 818 is intended to be a complete converter which  
7 includes the aforementioned analog anti-image filter as part of its functionality. Such  
8 converters are commonly available in commercial embodiments, such as the Burr-Brown  
9 PCM1710. In the preferred embodiments, modules 292, 294, input section 210, sum  
10 channel processing section 220, difference channel processing section 230, modules 296,  
11 298, and composite modulator 822 are all implemented on a single digital signal  
12 processing chip.

13 Since the composite signal is generated as a digital signal in encoder 200b,  
14 module 298 is included to bring the output signal generated by difference channel  
15 processing section 230 up to full scale rather than waiting until after digital-to-analog  
16 conversion and using an analog amplifier such as amplifier 820 as is shown in Figure  
17 8A. Also, since in the composite signal the conditioned sum signal is used at 50%  
18 modulation, module 296 only amplifies its input signal by 18 dB so that the output  
19 signal generated by module 296 is at half the amplitude of the output signal generated  
20 by module 298.

21 Figure 9 shows a block diagram of one embodiment of composite modulator 822.  
22 The latter receives the signals S and D and generates therefrom a digital version of the  
23 composite signal. Modulator 822 includes two interpolators 910, 912, two digital low  
24 pass filters 914, 916, a digital signal multiplier 918, and two digital signal adders 920,  
25 922. The S and D signals are applied to respective inputs of the interpolators 910 and  
26 912. Interpolators 910, 912, which are alternatively referred to as "up-samplers",  
27 interpolate a new sample between every two consecutive samples applied to their inputs,  
28 and thereby generate output signals having twice the sampling frequency as the input

1 signals S and D. The output signals generated by interpolators 910 and 912 are applied  
2 to respective inputs of low pass filters 914 and 916. The latter remove images  
3 introduced into the S and D signals by interpolators 910, 912. The filtered output signal  
4 generated by filter 916 is applied to one input of signal multiplier 918 and a digital  
5 oscillating signal as a function of  $\cos[4\pi(f_H/f_S)n]$  is applied to the other input of  
6 multiplier 918. Multiplier 918 thereby generates the amplitude modulated, double-  
7 sideband, suppressed carrier version of the difference signal that is used in the  
8 composite signal. The output signal generated by multiplier 918 is applied to one input  
9 of signal adder 920 and the filtered output signal generated by filter 914 is applied to  
10 the other input of signal adder 920. The latter generates an output signal by summing  
11 the two signals present at its inputs and applies this signal to signal adder 922. A pilot  
12 tone signal that oscillates as a function of  $A\cos[2\pi(f_H/f_S)n]$  (where 'A' is a constant  
13 representative of 10% of full scale modulation) is applied to the other input of signal  
14 adder 922 which generates the digital composite signal by summing the two signals  
15 present at its inputs.

16 Composite modulator 822 includes interpolators 910, 912 because the highest  
17 frequency component in the composite signal is slightly less than  $3f_H$  (as is shown in  
18 Figure 2), and therefore the signals applied to the inputs of signal multiplier 918 and  
19 signal adder 920 should have sample rates at least as large as  $6f_H$  to satisfy the Nyquist  
20 criteria. Because the sample rate at the output of composite modulator 822 is typically  
21 higher than the sample rate of either the S or D signals, D/A converter 818 must be  
22 capable of operating at such higher sample rates. If the input signals S and D applied  
23 to composite modulator 822 have sample rates of  $3f_H$  some form of interpolation (such  
24 as that provided by interpolators 910, 912) should be provided to double the sample  
25 rate. Of course, if sufficiently high sample rates are used throughout encoder 200b then  
26 interpolators 910, 912 and low pass filters 914, 916 may be eliminated from modulator  
27 822.

Figure 8C shows a block diagram of yet another embodiment of a BTSC encoder 200c constructed according to the invention. Encoder 200c is similar to encoder 200b (shown in Figure 8B) however, in encoder 200c module 298 is eliminated so that the signal generated by the difference channel processing section 230 is the signal D and is applied directly to composite modulator 822. Further, in encoder 200c, module 296 amplifies its input signal by 12 dB (by multiplying by 4) rather than by 18 dB as is done in encoder 200b. So in encoder 200c, the signals S and D are 6 dB down from the levels of those signals in encoder 200b. Composite modulator 822 therefore generates from these signals a version of the composite signal that is attenuated by 6 dB. This attenuated version of the composite signal is converted to an analog signal by digital-to-analog converter 818 and is then brought up to full scale by 6 dB analog amplifier 820. As with encoder 200b, encoder 200c is preferably implemented using a single digital signal processing chip.

The differences between encoders 200b and 200c represent design tradeoffs. As those skilled in the art will appreciate, when converting a digital signal to an analog signal with a digital-to-analog converter, insuring that the digital signal is at full scale tends to minimize any loss of signal-to-noise ratio that might occur as a result of the conversion. Encoder 200b minimizes the loss of signal-to-noise ratio as a result of the operation of converter 818 by using modules 296, 298 to insure that the digital version of the composite signal (generated by modulator 822) that is applied to converter 818 is at full scale. However, although converter 200b minimizes any loss of signal-to-noise ratio that might occur as a result of converter 818, encoder 200b also increases the likelihood that clipping might occur in the composite signal. Since the difference channel processing section 230 uses the relatively large gain provided by fixed preemphasis filter 232 (shown in Figure 3), it is possible for some clipping to occur in the path of the encoded difference signal. Encoder 200b uses module 298 to bring the D signal up to full scale and this essentially eliminates any headroom from the signal path of the D signal and thereby increases the chance that some clipping will occur. So

1 encoder 200b minimizes the loss of any signal-to-noise ratio that occurs as a result of  
2 converter 818 at the cost of increasing the likelihood of clipping in the path of the  
3 encoded difference signal. In contrast, encoder 200c preserves headroom in the path  
4 of the encoded difference signal and thereby reduces the likelihood of clipping at the  
5 cost of increasing the loss of signal-to-noise ratio that occurs as a result of operation of  
6 converter 818.

7 Figure 8D shows a block diagram of yet another embodiment of a BTSC encoder  
8 200d constructed according to the invention. Encoder 200d is similar to encoder 200a  
9 (shown in Figure 8A) however, encoder 200d additionally includes a portion 822a of a  
10 composite modulator. Portion 822a includes two interpolators 910, 912, two low pass  
11 filters 914, 916, digital signal multiplier 918 and a digital signal adder 930. The S  
12 signal generated by module 296 is applied to interpolator 910 which "up-samples" the  
13 S signal and applies the up-sampled signal to low pass filter 914. The latter filters this  
14 signal and applies the filtered signal to one input terminal of adder 930. A digital pilot  
15 tone having twice the normal amplitude (i.e.,  $2A\cos 2\pi(f_H/f_S)n$ ) is applied to the other  
16 input terminal of adder 930 which generates an output signal by summing the two  
17 signals present at its input terminals. The D signal generated by difference channel  
18 processing section 230 is applied to interpolator 912 which generates an up-sampled  
19 signal that is applied to low pass filter 916. The latter filters this signal and applies the  
20 filtered signal to one terminal of multiplier 918. A signal oscillating according to  
21  $\cos 4\pi(f_H/f_S)n$  is applied to the other terminal of multiplier 918 which generates an output  
22 signal by multiplying the two signals present at its input terminals. As with encoders  
23 200a-c, encoder 200d is preferably implemented using a single digital signal processing  
24 chip.

25 Encoder 200d is preferably used in conjunction with two digital-to-analog  
26 converters 932, 934, an analog -6 dB attenuator 936, an analog 6 dB amplifier 938, and  
27 an analog adder 940. The output signal generated by adder 930 is applied to converter  
28 932 which generates an analog signal that is applied to attenuator 936. The output



1 signal generated by multiplier 918 is applied to converter 934 which generates an analog  
2 signal that is applied to amplifier 938. The signals generated by attenuator 936 and  
3 amplifier 938 are applied to input terminals of signal adder 940 which sums these  
4 signals to generate the analog composite signal. D/A converters 932 and 934 are  
5 intended to be complete converters which include the aforementioned analog anti-image  
6 filters as part of their functionality. Converters 932 and 934 are assumed to be  
7 substantially identical to one another, running at the same sample rate and containing  
8 substantially the same anti-image filtering. Such converters are commonly available in  
9 commercial embodiments, such as the Burr Brown PCM1710.

10 It is also possible to eliminate interpolator 910 and low pass filter 914 from  
11 Figure 8D, and run D/A converter 932 at a sample rate equal to that of the sum channel  
12 processing section 220. However, to do so is generally not practical because  
13 inexpensive, commonly available D/A converters are usually available in pairs housed  
14 within a single integrated circuit. Such paired D/A converters naturally operate at the  
15 same sample rate. While it is possible to reduce DSP complexity by eliminating  
16 interpolator 910 and low pass filter 914 from Figure 8D, doing so would also likely  
17 increase the cost and complexity of the overall design because a simple stereo D/A  
18 converter could no longer be used for both D/A converters 932 and 934.

19 Encoder 200d represents one combination of the features of encoders 200b and  
20 200c. Encoder 200d uses module 296 to bring the S signal up to full scale so as to  
21 minimize any loss of signal-to-noise ratio that might occur as a result of the operation  
22 of converter 932. Encoder 200d also preserves 6 dB of headroom in the signal path of  
23 the D signal and therefore reduces the likelihood of any loss of accuracy due to clipping.  
24 Although encoder 200d includes more components than either of encoders 200b and  
25 200c, encoder 200d both minimizes loss of signal-to-noise ratio and the likelihood of  
26 clipping.

27 Figure 10 shows a block diagram of a preferred embodiment of sum channel  
28 processing section 220a and difference channel processing section 230a for use in

1 encoder 200 (and these sections 220a, 230a may of course be used in encoders 200a-d).  
2 Processing sections 220a, 230a are similar to the above-described sections 220, 230,  
3 however, section 220a additionally includes dynamic phase equalization filter 1010, and  
4 section 230a additionally includes a dynamic phase equalization filter 1012. In the  
5 illustrated embodiment, the output signals generated by static phase equalization filter  
6 228 and fixed preemphasis filter 232a are applied to the input terminals of dynamic  
7 phase equalization filters 1010 and 1012, respectively, and the output signal generated  
8 by logarithmic generator 558 on line 558a is applied to the control terminals of filters  
9 1010, 1012. The output signals generated by filters 1010 and 1012 are applied to low  
10 pass filter 224 and to wideband compression unit 280, respectively.

11 Dynamic phase equalization filters 1010, 1012 are used to compensate for phase  
12 errors introduced by variable emphasis filter 560 which is used in spectral compression  
13 unit 290. The phase response of variable emphasis filter 560 is preferably matched as  
14 closely as is possible to that of variable emphasis filter 136 (shown in Figure 1).  
15 However, due to the variable, signal dependent, nature of variable emphasis filter 136,  
16 it is extremely difficult to design variable emphasis filter 560 so that its phase response  
17 is matched to that of variable emphasis filter 136 for all pre-emphasis/de-emphasis  
18 characteristics, which in turn varies with signal level. Therefore in typical embodiments  
19 of encoder 200, the phase responses of variable emphasis filter 560 and variable  
20 emphasis filter 136 diverge as a function of the signal level. Dynamic phase  
21 equalization filters 1010, 1012 preferably introduce compensatory phase errors into the  
22 sum and difference channel processing sections to compensate for the divergence  
23 between variable emphasis filter 560 and variable emphasis filter 136.

24 Dynamic phase equalization filters 1010, 1012 therefore perform a function that  
25 is similar to that performed by static phase equalization filter 228. However, whereas  
26 filter 228 compensates for phase errors that are independent of the level of the encoded  
27 difference signal, filters 1010, 1012 compensate for phase errors that are dependent on  
28 this signal level. Filters 1010, 1012 are preferably implemented as "all pass" filters

1 having relatively flat magnitude responses and selected phase responses. Dynamic phase  
2 equalization filters are included in both the sum and difference processing sections  
3 because a phase delay may be required in either the sum or difference channel to  
4 compensate for the phase error introduced by variable emphasis filter 560. In preferred  
5 embodiments, filters 1010, 1012 are implemented in a similar fashion as variable  
6 emphasis unit 536 and include a filter having a variable coefficient transfer function and  
7 a LUT for selecting the values of the filter coefficients during any particular interval.  
8 The signal generated by logarithmic generator 558 on line 558a is preferably applied to  
9 the control terminals of filters 1010, 1012 and selects the filter coefficients used by  
10 those filters.

11 Digital encoder 200 has been discussed in connection with certain particular  
12 embodiments, however, those skilled in the art will appreciate that variations of these  
13 embodiments are also embraced within the invention. For example, variable emphasis  
14 unit 536 (shown in Figure 6) has been discussed in terms of being implemented using  
15 a variable emphasis filter 560 and a LUT 562. However, rather than precomputing all  
16 the possible coefficients for filter 560 and storing them in LUT 562, it may be  
17 preferable for other implementations of variable emphasis unit 536 to eliminate LUT  
18 562 and to instead include components for calculating the filter coefficients in real time.  
19 Those skilled in the art will appreciate that such considerations represent a tradeoff  
20 between memory resources (such as are used by a LUT for storing filter coefficients)  
21 and computing resources (such as are used by components for calculating filter  
22 coefficients in real time) and may be resolved differently in any particular  
23 implementation of encoder 200. Similar considerations apply to square root devices 456  
24 and 556, reciprocal generator 458, and logarithmic generator 558 (shown in Figures 5  
25 and 6) which may alternatively use memory resources (e.g., a LUT for storing all the  
26 values) or processing resources (e.g., for calculating a Taylor series polynomial  
27 approximation). In yet other embodiments, any or all of the components in encoder

1 200 may be implemented using individual hardware components or alternatively as  
2 software modules running on a general or specific purpose computer.

3 Another example of variations of encoder 200 that are embraced within the  
4 invention relates to scaling modules 292, 294 (shown in Figure 8B). These modules are  
5 particularly relevant to fixed point implementations of encoder 200. In floating point  
6 implementations there is no need to pad each sample with zeros and sign bits to prevent  
7 overflow and these modules can therefore be eliminated from floating point  
8 implementations. As a further example, the static phase equalization filter 228 (shown  
9 in Figure 10) has been discussed in terms of compensating for phase errors introduced  
10 by filter 232a, however, filter 228 may be alternatively used to compensate for other  
11 phase errors introduced by other components in the difference channel processing  
12 section 230a. Still further, filters 228 and 1010 may be implemented as a single filter.

13 Therefore, since certain changes may be made in the above apparatus without  
14 departing from the scope of the invention herein involved, it is intended that all matter  
15 contained in the above description or shown in the accompanying drawing shall be  
16 interpreted in an illustrative and not a limiting sense.

**What is Claimed is:**

1 1. A digital BTSC encoder, comprising:

2 (A) left high pass filter means for receiving a digital left channel audio signal and for  
3 digitally high pass filtering said digital left channel audio signal and thereby generating  
4 a digital left filtered signal;

5 (B) right high pass filter means for receiving a digital right channel audio signal and  
6 for digitally high pass filtering said digital right channel audio signal and thereby  
7 generating a digital right filtered signal;

8 (C) matrix means for receiving said digital left and digital right filtered signals, and  
9 including means for summing said digital left and digital right filtered signals and  
10 thereby generating a digital sum signal, and including means for subtracting one of said  
11 digital left and digital right filtered signals from the other of said digital left and digital  
12 right filtered signals and thereby generating a digital difference signal;

13 (D) difference channel processing means for digitally processing said digital  
14 difference signal;

15 (E) sum channel processing means for digitally processing said digital sum signal.

1 2. An encoder according to claim 1, wherein said left and right high pass filter  
2 means are characterized by a cutoff frequency that is less than or equal to 50 Hz.

1 3. An encoder according to claim 2, wherein said left and right high pass filter  
2 means are characterized by a passband and a substantially flat response in said passband.

1 4. A digital BTSC encoder, comprising:

2 (A) left channel sampling means for receiving an analog left channel audio signal and  
3 for sampling said analog left channel audio signal at a sampling frequency substantially  
4 equal to N times 15,734 Hz and thereby generating a digital left signal, N being an  
5 integer greater than or equal to three;

6 (B) right channel sampling means for receiving an analog right channel audio signal  
7 and for sampling said analog right channel audio signal at a sampling frequency  
8 substantially equal to N times 15,734 Hz and thereby generating a digital right signal;

9 (C) matrix means for receiving said digital left and digital right signals, and including  
10 means for summing said digital left and digital right signals and thereby generating a  
11 digital sum signal, and including means for subtracting one of said digital left and digital  
12 right signals from the other of said digital left and digital right signals and thereby  
13 generating a digital difference signal;

14 (D) difference channel processing means for digitally processing said digital  
15 difference signal;

16 (E) sum channel processing means for digitally processing said digital sum signal.

1 5. An encoder according to claim 3, further including digital high pass filter means  
2 for high pass filtering said digital left and right signals.

1 6. A digital BTSC encoder, comprising:

2 (A) matrix means for receiving a digital left channel audio signal and a digital right  
3 channel audio signal, and including means for summing said digital left and right  
4 channel audio signals and thereby generating a digital sum signal, and including means  
5 for subtracting one of said digital left and right channel audio signals from the other of  
6 said digital left and right channel audio signals and thereby generating a digital  
7 difference signal;

8 (B) difference channel processing means for digitally processing said digital  
9 difference signal, said digital processing introducing a first phase error to said digital  
10 difference signal;

11 (C) sum channel processing means for processing said digital sum signal and  
12 including means for introducing a second phase error to said digital sum signal and  
13 thereby compensating for said first phase error introduced into said digital difference  
14 signal.

1 7. An adaptive digital signal weighing system: including a signal path for  
2 transmitting an electrical information signal of a predetermined bandwidth through said  
3 system, said system further comprising:

4 digital filter means disposed in said signal path for varying the gain impressed  
5 on the portion of said information signal within a first select spectral region within said  
6 predetermined bandwidth by a first variable gain factor, said first variable gain factor  
7 varying in response to and as a function of a first control signal;

8 means for digitally generating said first control signal only in response to and in  
9 accordance with the signal energy of said information signal within a second select  
10 spectral region including at least a part of said first select spectral region;

11 digital gain control means disposed in said signal path and coupled to said digital  
12 filter means for varying the signal gain impressed on said information signal  
13 substantially throughout said predetermined bandwidth by a second variable gain factor,  
14 said second variable gain factor varying in response to and as a function of a second  
15 control signal; and

16 means for digitally generating said second control signal in response to and as  
17 a function of the signal energy of said information signal substantially within a third  
18 select spectral region within said predetermined bandwidth.

1 8. A digital system for encoding an electrical information signal of a predetermined  
2 bandwidth so that said information signal can be recorded on or transmitted through a  
3 dynamically-limited, frequency dependent channel having a narrower dynamically-  
4 limited portion in a first spectral region than in at least one other spectral region of said  
5 predetermined bandwidth, said system comprising:

6 input means for receiving said information signal;

7 a signal transmission path coupled to said input means for transmitting said  
8 information signal received at said input means;

9 output means coupled to said input means through said signal transmission path  
10 for providing said information signal as encoded by said system;

11 digital gain control means coupled to said signal path for varying the signal gain  
12 impressed on said information signal substantially throughout said predetermined  
13 bandwidth, said signal gain varying in response to and as a function of a first control  
14 signal;

15 digital filter means coupled to said signal path and said digital gain control means  
16 for impressing a second variable gain on the portion of said information signal  
17 substantially within said first spectral region so as to preemphasize said portion with  
18 respect to the remaining portions of said information signal, said second variable gain  
19 varying in response to and as a function of a second control signal;

20 means for digitally generating said first control signal in response to and as a  
21 function of the signal energy of said information signal substantially within a second  
22 spectral region of said predetermined bandwidth; and

23 means for digitally generating said second control signal only in response to and  
24 in accordance with the signal energy of said information signal within a third spectral  
25 region of said predetermined bandwidth including at least a part of said first spectral  
26 region.



1 9. An adaptive digital signal weighing system, comprising:  
2 a signal path for transmitting an electrical information signal of a predetermined  
3 bandwidth through said system;  
4 variable coefficient digital filter means for filtering said information signal, said  
5 filtering being characterized by a variable coefficient transfer function and said filtering  
6 varying the gain impressed on the portion of said information signal within a first select  
7 spectral region within said predetermined bandwidth by a first variable gain factor, the  
8 variable coefficients of said variable coefficient transfer function and said first variable  
9 gain factor varying in response to and as a function of a first control signal;  
10 means for digitally generating said first control signal only in response to and in  
11 accordance with the signal energy of said information signal within a second select  
12 spectral region including at least a part of said first select spectral region;  
13 digital gain control means disposed in said signal path and coupled to said  
14 variable coefficient digital filter means for varying the signal gain impressed on said  
15 information signal substantially throughout said predetermined bandwidth by a second  
16 variable gain factor, said second variable gain factor varying in response to and as a  
17 function of a second control signal; and  
18 means for digitally generating said second control signal in response to and as  
19 a function of the signal energy of said information signal substantially within a third  
20 select spectral region within said predetermined bandwidth.

1 10. A digital BTSC encoder according to claim 1, wherein said sum channel  
2 processing means and said difference channel processing means are both implemented  
3 on a single integrated circuit.

1 11. A digital BTSC encoder according to claim 1, wherein said digital left and right  
2 channel audio signals are digitally sampled signals sampled with a sampling frequency  
3 substantially equal to N times 15,734 Hz, N being an integer greater than or equal to  
4 three.

1 12. A digital BTSC encoder according to claim 1, wherein said difference channel  
2 processing means includes:

- 3 (A) difference input means for receiving said digital difference signal;  
4 (B) difference output means for providing an encoded difference signal;  
5 (C) a difference signal transmission path coupled to said difference input  
6 means and to said difference output means, and including means for generating said  
7 encoded difference signal from said digital difference signal.

1 13. A digital BTSC encoder according to claim 12, wherein said difference channel  
2 processing means includes spectral compression means for receiving a spectral  
3 compression input signal from said difference signal transmission path and for  
4 compressing said spectral compression input signal according to a function of an energy  
5 level of said encoded difference signal and thereby generating a spectral compression  
6 output signal, and including means for applying said spectral compression output signal  
7 to said difference signal transmission path.

1 14. A digital BTSC encoder according to claim 13, wherein said spectral  
2 compression means includes means for measuring a first energy level of said encoded  
3 difference signal in a first select spectral portion, and for generating a first control  
4 signal representative of said first energy level.

1 15. A digital BTSC encoder according to claim 14, wherein said spectral  
2 compression means includes a variable emphasis filter for receiving and digitally  
3 filtering said spectral compression input signal and thereby generating said spectral  
4 compression output signal, the filtering provided by said variable emphasis filter being  
5 characterized by a transfer function including a plurality of coefficients, said spectral  
6 compression means further including means for selecting said plurality of coefficients  
7 according to a function of said first control signal.

1 16. A digital BTSC encoder according to claim 15, wherein said means for selecting  
2 said plurality of coefficients includes a memory look up table.

1 17. A digital BTSC encoder according to claim 16, wherein said means for selecting  
2 said plurality of coefficients includes logarithmic generator means for receiving and  
3 logarithmically compressing said first control signal and thereby generating a  
4 logarithmically compressed signal and further includes means for applying said  
5 logarithmically compressed signal to said memory look up table.

1 18. A digital BTSC encoder according to claim 15, wherein said means for selecting  
2 said plurality of coefficients includes means for calculating said plurality of coefficients  
3 as a function of said first control signal.

1 19. A digital BTSC encoder according to claim 15, wherein said spectral  
2 compression means includes spectral bandpass filter means for receiving and filtering  
3 a signal representative of said encoded difference signal and thereby generating a  
4 spectral signal, said filtering provided by said spectral bandpass filter means being  
5 characterized by a passband in said first select spectral portion.

1 20. A digital BTSC encoder according to claim 19, wherein said spectral  
2 compression means includes first RMS level detector means for receiving said spectral  
3 signal and for generating therefrom said first control signal, said first control signal  
4 being representative of an RMS value of said spectral signal.

1 21. A digital BTSC encoder according to claim 19, wherein said spectral  
2 compression means includes an amplifying means for receiving and amplifying said  
3 encoded difference signal and thereby generating said signal representative of said  
4 encoded difference signal.

1 22. A digital BTSC encoder according to claim 15, wherein said difference channel  
2 processing means includes wideband compression means for receiving a wideband  
3 compression input signal from said difference signal transmission path and for  
4 compressing said wideband compression input signal according to a function of an  
5 energy level of said encoded difference signal and thereby generating a wideband  
6 compression output signal, and including means for applying said wideband compression  
7 output signal to said difference signal transmission path.

1 23. A digital BTSC encoder according to claim 22, wherein said spectral  
2 compression input signal comprises said wideband compression output signal.

1 24. A digital BTSC encoder according to claim 22, wherein said wideband  
2 compression means includes means for measuring a second energy level of said encoded  
3 difference signal in a second select spectral portion, and for generating a second control  
4 signal representative of said second energy level.

1 25. A digital BTSC encoder according to claim 24, wherein said wideband  
2 compression means includes amplifier means for receiving and amplifying said wideband  
3 compression input signal using a gain controlled by said second control signal and  
4 thereby generating said wideband compression output signal.

1 26. A digital BTSC encoder according to claim 25, wherein said wideband  
2 compression means includes wideband bandpass filter means for receiving and filtering  
3 a signal representative of said encoded difference signal and thereby generating a  
4 wideband signal, said filtering provided by said wideband bandpass filter means being  
5 characterized by a passband in said second select spectral portion.

1 27. A digital BTSC encoder according to claim 26, wherein said wideband  
2 compression means includes second RMS level detector means for receiving said  
3 wideband signal and for generating therefrom said second control signal, said second  
4 control signal being representative of an RMS value of said wideband signal.

1 28. A digital BTSC encoder according to claim 22, wherein said difference channel  
2 processing means includes first low pass filter means for receiving a first low pass filter  
3 input signal from said difference signal transmission path and including means for low  
4 pass filtering said first low pass filter input signal and thereby generating a first low pass  
5 filter output signal, and including means for applying said first low pass filter output  
6 signal to said difference signal transmission path.

1 29. A digital BTSC encoder according to claim 28, wherein said difference channel  
2 processing means includes second low pass filter means for receiving a second low pass  
3 filter input signal from said difference signal transmission path and including means for  
4 low pass filtering said second low pass filter input signal and thereby generating a

5 second low pass filter output signal, and including means for applying said second low  
6 pass filter output signal to said difference signal transmission path.

1 30. A digital BTSC encoder according to claim 29, wherein said sum channel  
2 processing means includes:

- 3 (A) sum input means for receiving said digital sum signal;  
4 (B) sum output means for providing a conditioned sum signal;  
5 (C) a sum signal transmission path coupled to said sum input means and to  
6 said sum output means, and including means for generating said conditioned sum signal  
7 from said digital sum signal.

1 31. A digital BTSC encoder according to claim 30, wherein said sum channel  
2 processing means includes sum channel low pass filter means for receiving a sum  
3 channel low pass filter input signal from said sum signal transmission path and including  
4 means for low pass filtering said sum channel low pass filter input signal and thereby  
5 generating a sum channel low pass filter output signal, and including means for applying  
6 said sum channel low pass filter output signal to said sum signal transmission path.

1 32. A digital BTSC encoder according to claim 31, wherein the filtering provided  
2 by a cascade of said first and second low pass filter means is substantially similar to the  
3 filtering provided by said sum channel low pass filtering means.

1 33. A digital BTSC encoder according to claim 32, wherein the filtering provided  
2 by said sum channel low pass filtering means is characterized by a null at 15,734 Hz.

1 34. A digital BTSC encoder according to claim 33, wherein the filtering provided  
2 by said sum channel low pass filtering means is characterized by a pass band between  
3 zero and 15 kHz

1 35. A digital BTSC encoder according to claim 34, wherein the filtering provided  
2 by said sum channel low pass filtering means is characterized by a cutoff above 15 kHz.

1 36. A digital BTSC encoder according to claim 31, wherein said difference channel  
2 processing means includes first fixed preemphasis filter means for receiving a first  
3 preemphasis input signal from said difference signal transmission path and including  
4 means for filtering said first preemphasis input signal and thereby generating a first  
5 preemphasis output signal, and including means for applying said first preemphasis  
6 output signal to said difference signal transmission path.

1 37. A digital BTSC encoder according to claim 36, wherein said difference channel  
2 processing means includes second fixed preemphasis filter means for receiving a second  
3 preemphasis input signal from said difference signal transmission path and including  
4 means for filtering said second preemphasis input signal and thereby generating a second  
5 preemphasis output signal, and including means for applying said second preemphasis  
6 output signal to said difference signal transmission path.

1 38. A digital BTSC encoder according to claim 37, wherein said sum channel  
2 processing means includes 75  $\mu$ s preemphasis filter means for receiving a 75  $\mu$ s  
3 preemphasis input signal from said sum signal transmission path and including means  
4 for filtering said 75  $\mu$ s preemphasis input signal and thereby generating a 75  $\mu$ s  
5 preemphasis output signal, and including means for applying said 75  $\mu$ s preemphasis  
6 output signal to said sum signal transmission path.

1 39. A digital BTSC encoder according to claim 38, wherein said sum channel  
2 processing means includes static equalization filter means for receiving a static  
3 equalization input signal from said sum signal transmission path and including means  
4 for filtering said static equalization input signal and thereby generating a static  
5 equalization output signal, and including means for applying said static equalization  
6 output signal to said sum signal transmission path.

1 40. A digital BTSC encoder according to claim 39, wherein the filtering provided  
2 by said second preemphasis filter means is characterized by a second preemphasis phase  
3 response, the filtering provided by said 75  $\mu$ s preemphasis filter means being  
4 characterized by a 75  $\mu$ s preemphasis phase response, a difference between said second  
5 preemphasis phase response and a first reference phase response being substantially  
6 similar to a difference between said 75  $\mu$ s preemphasis phase response and a second  
7 reference phase response.

1 41. A digital BTSC encoder according to claim 40, wherein the filtering provided  
2 by said first preemphasis filter means is characterized by a first preemphasis phase  
3 response, the filtering provided by said static equalization filter means being  
4 characterized by a static equalization phase response, said first preemphasis phase  
5 response being substantially similar to said static equalization phase response.

1 42. A digital BTSC encoder according to claim 41, wherein said first preemphasis  
2 input signal comprises said first low pass filter output signal.

1 43. A digital BTSC encoder according to claim 42, wherein said wideband  
2 compression input signal comprises said first preemphasis output signal.



1 44. A digital BTSC encoder according to claim 43, wherein said second preemphasis  
2 input signal comprises said spectral compression output signal.

1 45. A digital BTSC encoder according to claim 41, wherein said difference channel  
2 processing means includes difference dynamic equalization filter means for receiving a  
3 difference dynamic equalization input signal from said difference signal transmission  
4 path and including means for filtering said difference dynamic equalization input signal  
5 and thereby generating a difference dynamic equalization output signal, and including  
6 means for applying said difference dynamic equalization output signal to said difference  
7 signal transmission path.

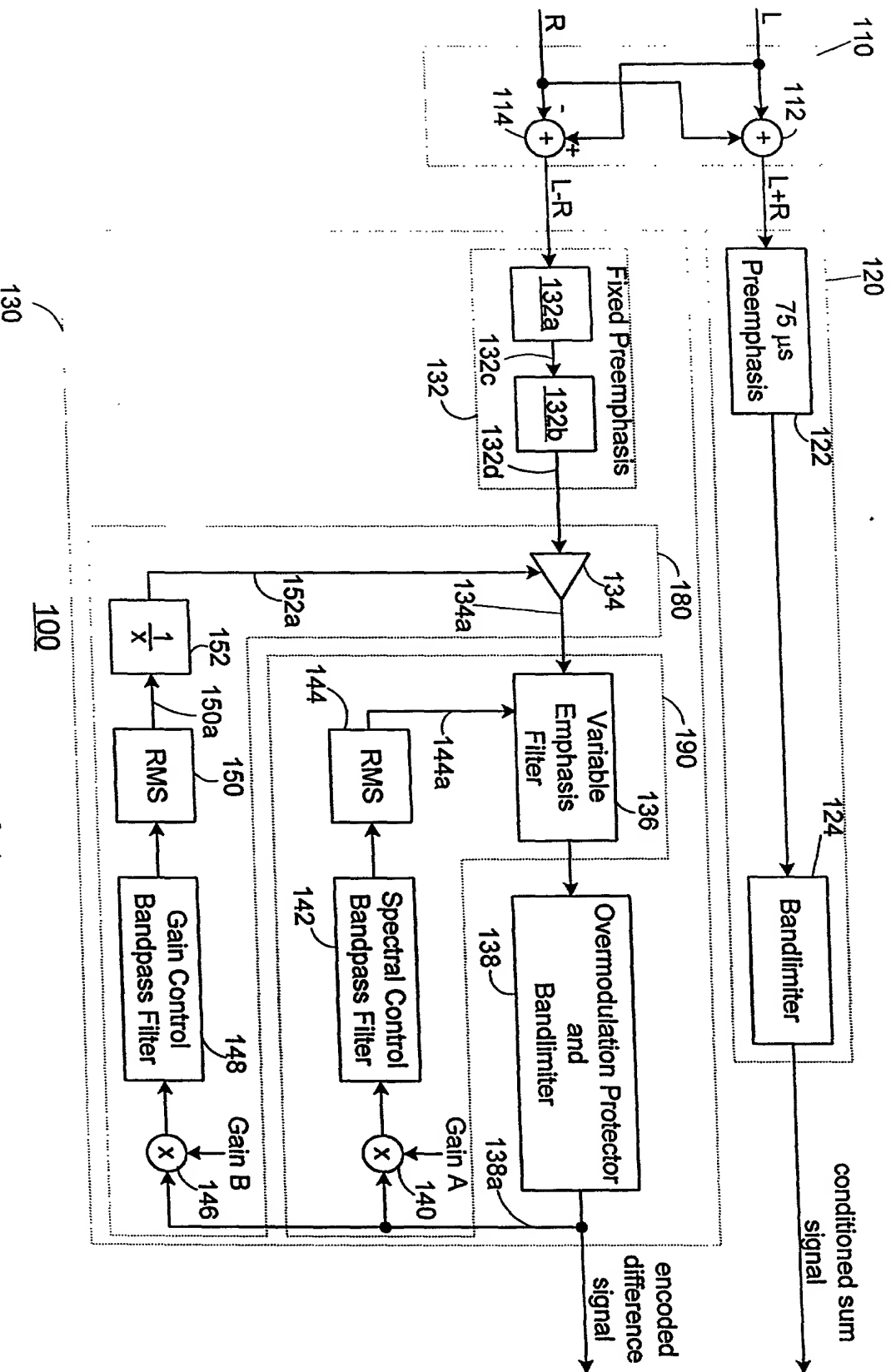
1 46. A digital BTSC encoder according to claim 45, wherein said sum channel  
2 processing means includes sum dynamic equalization filter means for receiving a sum  
3 dynamic equalization input signal from said sum signal transmission path and including  
4 means for filtering said sum dynamic equalization input signal and thereby generating  
5 a sum dynamic equalization output signal, and including means for applying said sum  
6 dynamic equalization output signal to said sum signal transmission path.

1 47. A digital BTSC encoder according to claim 46, wherein the filtering provided  
2 by said difference dynamic equalization filter means is characterized by a difference  
3 dynamic equalization phase response, the filtering provided by said variable emphasis  
4 filter being characterized by a variable emphasis phase response, the filtering provided  
5 by said sum dynamic equalization filter means being characterized by a sum dynamic  
6 equalization phase response, said difference dynamic equalization, variable emphasis,  
7 and sum dynamic equalization phase responses all varying according to a function of  
8 said second control signal.

- 1 48. A digital BTSC encoder according to claim 30, further including composite
- 2 modulator means for receiving said encoded difference signal and said conditioned sum
- 3 signal and for generating therefrom a composite modulated signal.

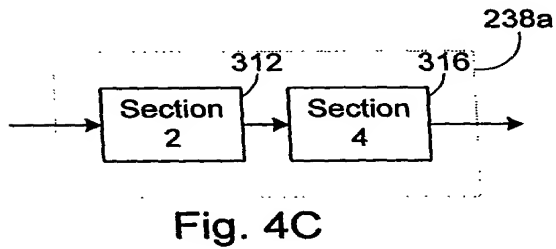
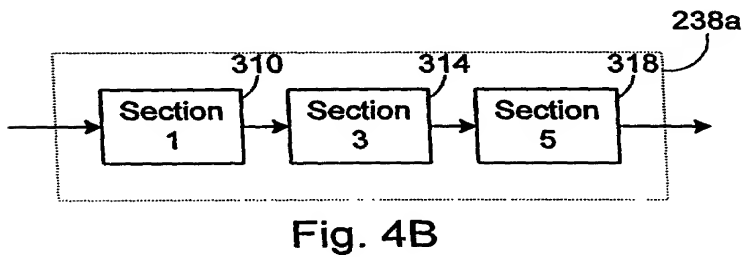
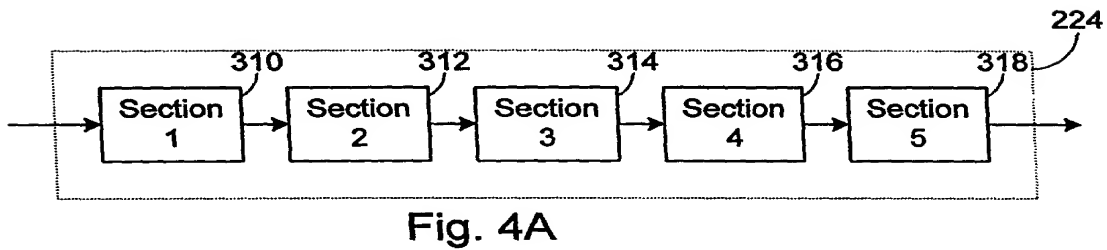
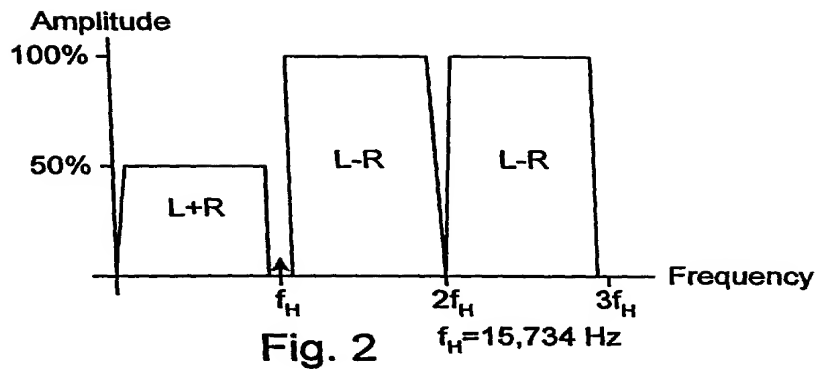
Abstract of the Disclosure

1           The disclosed BTSC encoder includes a left high pass filter means for receiving  
2   a digital left channel audio signal and for digitally high pass filtering the digital left  
3   channel audio signal and thereby generating a digital left filtered signal; a right high  
4   pass filter means for receiving a digital right channel audio signal and for digitally high  
5   pass filtering the digital right channel audio signal and thereby generating a digital right  
6   filtered signal; a matrix means for receiving the digital left and digital right filtered  
7   signals, and including means for summing the digital left and digital right filtered signals  
8   and thereby generating a digital sum signal, and including means for subtracting one of  
9   the digital left and digital right filtered signals from the other of the digital left and  
10   digital right filtered signals and thereby generating a digital difference signal; a  
11   difference channel processing means for digitally processing the digital difference signal;  
12   and a sum channel processing means for digitally processing the digital sum signal.



Prior Art  
Fig. 1

SCAN 9





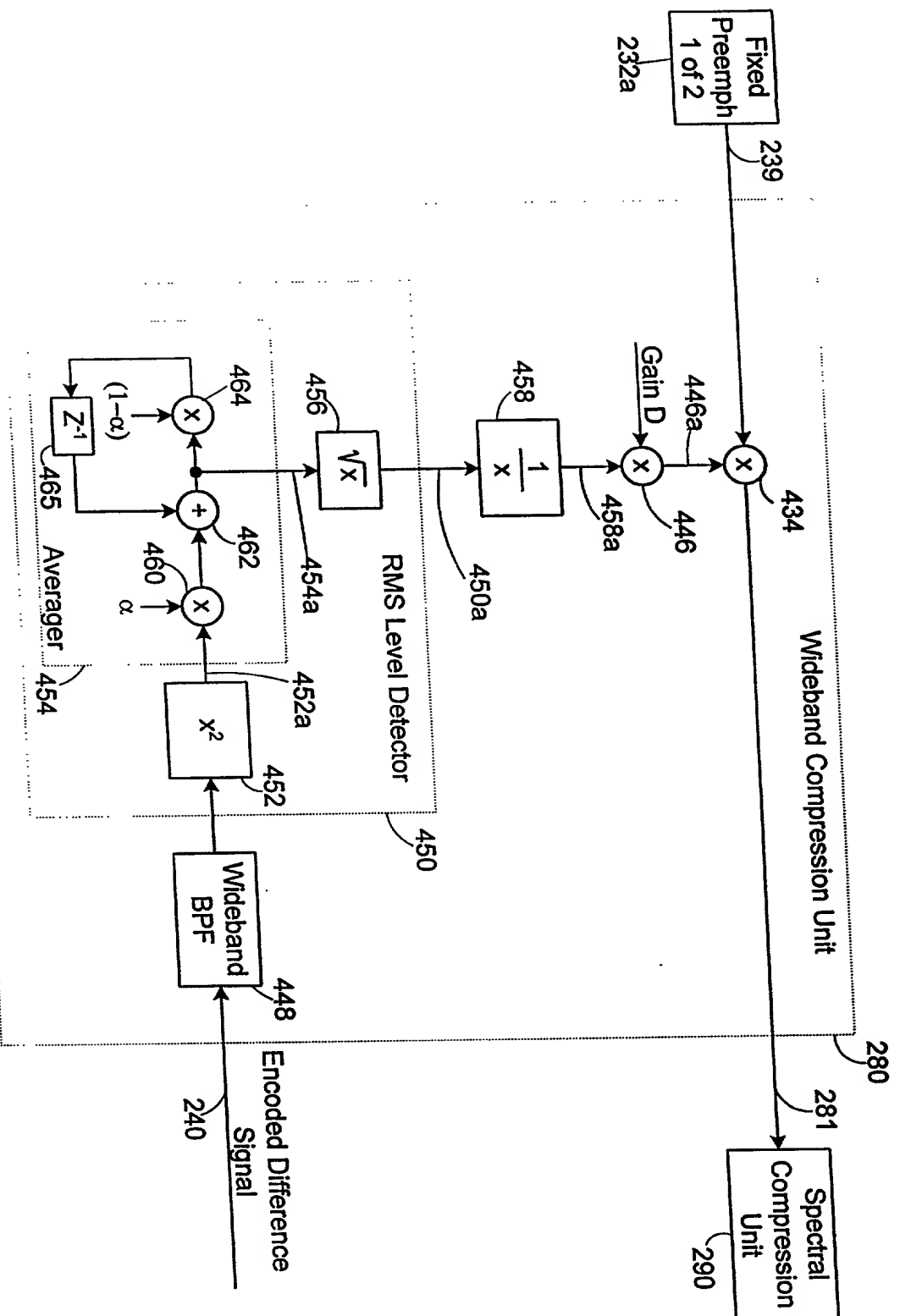


Fig. 5

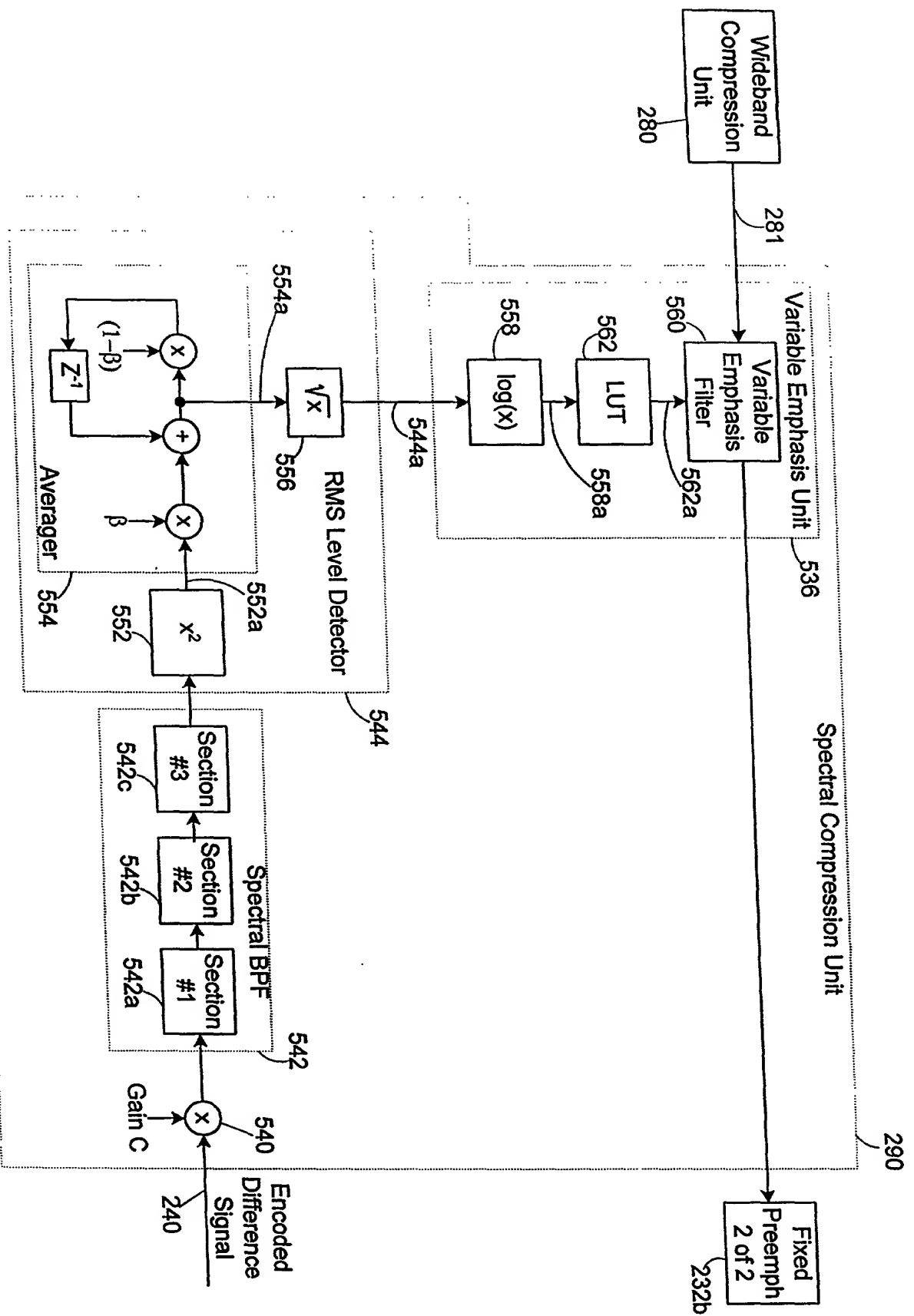


Fig. 6

095339345, 094400



$f_s = 47202.0$   
 $T = 1.0/f_s$   
 $W = \pi * 20100.0/f_s$   
 $dB_{RANGE} = 20.0 * \log_{10}(2^{12})$   
 $dB_{RES} = 20.0 * \log_{10}(2.0)/64.0$   
 $N = \text{Round}(dB_{RANGE}/dB_{RES})$   
 $\text{Scale} = 32$   
 $\text{Address} = 0$

710

$dBFS = (\text{Address} - N) * dB_{RES}$   
 $RMSd = dBFS + (N/2) * dB_{RES}$   
 $RMSb = 10.0 \exp(RMSd/20.0)$   
 $K1 = (RMSb + 51)/(RMSb + 1)$   
 $K2 = (RMSb * 51 + 1)/(RMSb + 1)$   
 $b_0(\text{Address}) = (K1 + W)/(K2 + W)/\text{Scale}$   
 $b_1(\text{Address}) = (W - K1)/(K2 + W)/\text{Scale}$   
 $a_1(\text{Address}) = (W - K2)/(K2 + W)/\text{Scale}$

720

$\text{Address} = \text{Address} + 1$

730

Is  
 $\text{Address} \leq N$

740

Stop

750

700

Fig. 7



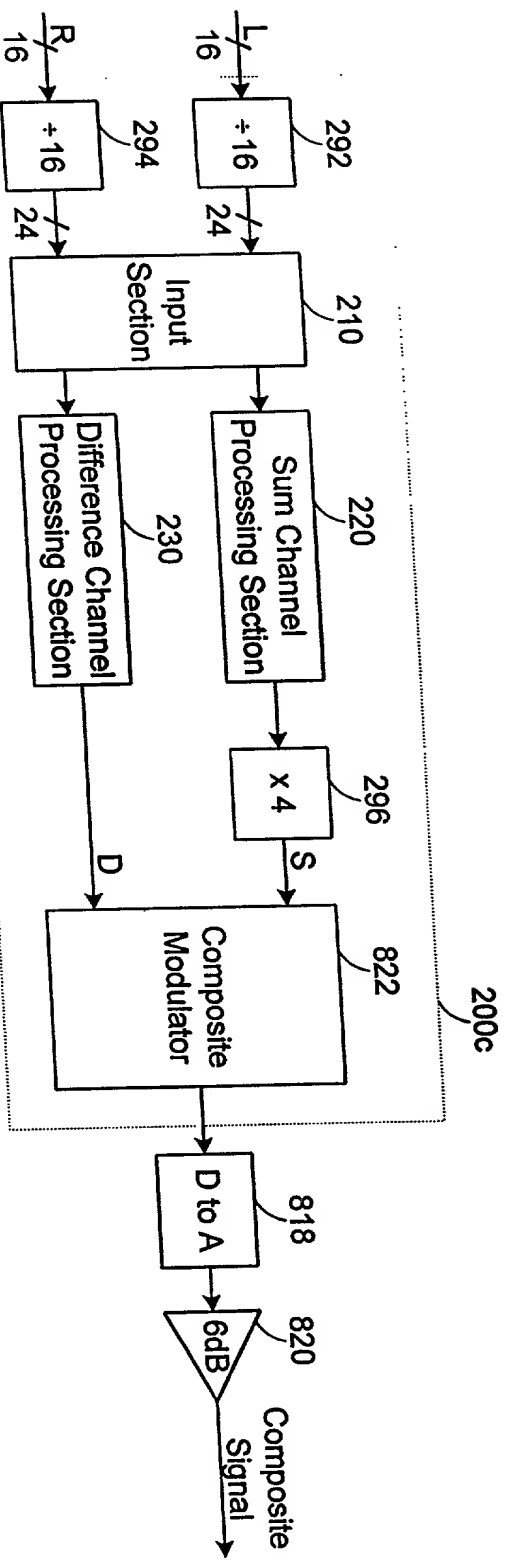


Fig. 8C

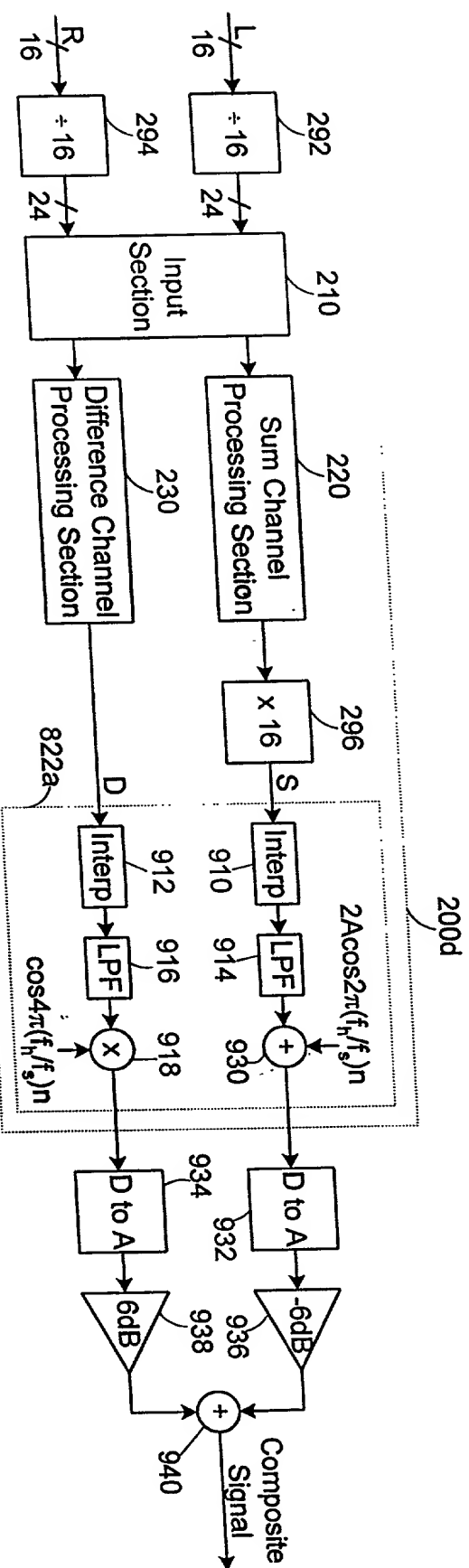
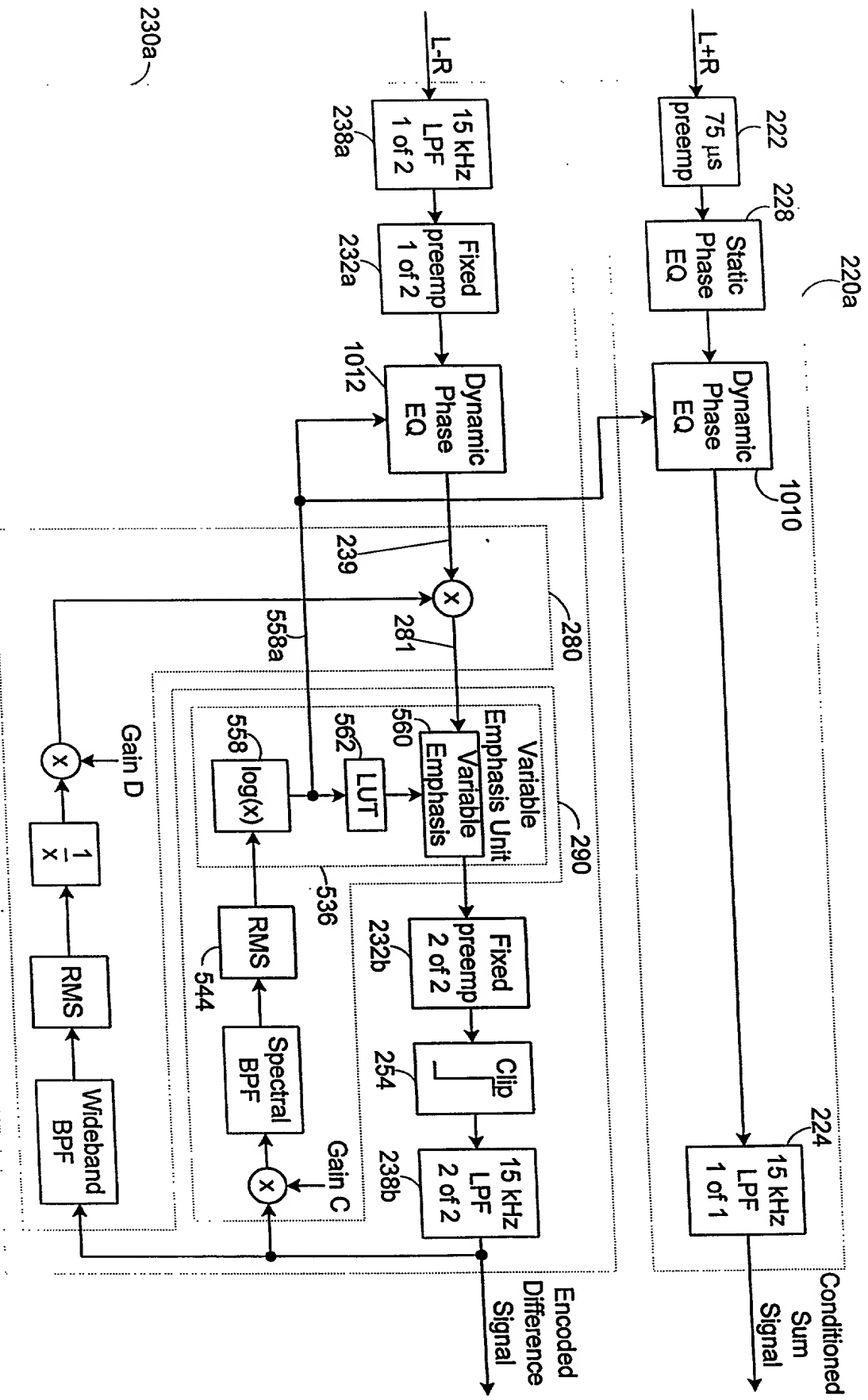


Fig. 8D





g:\hwa1003\draw10  
060796.2

Fig. 10

09630245 001400

**DECLARATION, PETITION AND POWER OF ATTORNEY FOR  
PATENT APPLICATION**

Attorney Docket No:  
**THAT-3**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**BTSC ENCODER**

the specification of which (check only one):

\_\_\_\_\_ is attached hereto.

  X   was filed as United States Patent Application

Serial No. 08/661,412

on June 7, 1996

and was amended

on \_\_\_\_\_

(if applicable)

\_\_\_\_\_ was filed as PCT Patent Application

Serial No. \_\_\_\_\_

on \_\_\_\_\_

and was amended under PCT Article 19

on \_\_\_\_\_

(if applicable)

I hereby state that I have reviewed and understand the contents of the specification, including the claims as amended by any amendment referred to herein.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

**PRIOR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. § 119:**

COUNTRY (if PCT indicate PCT)	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. § 119 (YES/NO)

PTO-1391 (L&K Rev. 1)

# DECLARATION, PETITION AND POWER OF ATTORNEY FOR PATENT APPLICATION

Attorney Docket No:  
THAT-3

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56 which occurred between the filing date of the prior applications and the national or PCT international filing date of this application:

## PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATION(S) DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. § 120:

APPLICATION NUMBER (if PCT indicate PCT)	DATE OF FILING (day, month, year)	STATUS: (PATENTED, PENDING OR ABANDONED)

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

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Wherefore I petition that letters patent be granted to me for the invention or discovery described and claimed in the attached specification and claims, and hereby subscribe my name to said specification and claims and to the foregoing declaration, power of attorney, and this petition.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Signature

*Christopher M. Hanna*

Date

3 - JUL - 96

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